

GOOD AFTERNOON, CHAIRMAN CROPP AND MEMBERS OF THE COMMITTEE. MY NAME IS CALVIN JONES AND I AM THE NEW DIRECTOR OF THE OFFICE OF GRANTS MANAGEMENT AND DEVELOPMENT. IT IS MY PLEASURE TO MEET YOU THIS AFTERNOON AND THIS IS MY SECOND WEEK WITH THE DISTRICT. I AM THE FORMER DIRECTOR OF BUDGET FOR THE ROCHESTER CITY SCHOOL DISTRICT IN NEW YORK STATE. MY PRIMARY RESPONSIBILITY IN THE SCHOOL DISTRICT WAS TO OVERSEE THE DEVELOPMENT AND ADMINISTRATION OF THE DISTRICT'S HALF BILLION- DOLLAR OPERATING BUDGET INCLUDING GRANT PROGRAM FISCAL PLANNING AND MANAGEMENT.

ALSO APPEARING BEFORE YOU THIS AFTERNOON IS MS. JACQUELINE BACCHUS, THE FORMER ACTING DIRECTOR AND DEPUTY DIRECTOR OF COMPETITIVE SERVICES IN THE OFFICE OF GRANTS MANAGEMENT AND DEVELOPMENT. MS. BACCHUS WILL PRESENT THE TESTIMONY TODAY ON THE OFFICE OF GRANTS MANAGEMENT AND DEVELOPMENT'S FISCAL YEARS 1999 AND 2000 PERFORMANCE OBJECTIVES AND EXPENDITURES.

THANK YOU MR. JONES. GOOD AFTERNOON CHAIRMAN CROPP AND MEMBERS OF THE COMMITTEE. DURING FISCAL YEAR 1999, OGMD WAS STRUCTURED INTO FOUR UNITS: RESOURCE DEVELOPMENT, COMPETITIVE SERVICES, GRANTS POLICY AND GRANT PROGRAMS ADMINISTRATION.

RESOURCE DEVELOPMENT, MAXIMIZES ACCESS TO FEDERAL, FOUNDATION, AND OTHER PRIVATE RESOURCES THAT SUPPORT THE DISTRICT'S PROGRAM AND POLICY PRIORITIES.

THE SECOND UNIT, COMPETITIVE SERVICES, SUPPORTS AGENCIES IN AWARDING FEDERAL FUNDS TO QUALIFIED DISTRICT AND COMMUNITY-BASED ORGANIZATIONS AND ASSISTS THEM IN COMPLYING WITH REGULATORY, FINANCIAL AND PROGRAMMATIC REQUIREMENTS. FISCAL YEAR 1999 WAS THE FIRST FULL YEAR THAT COMPETITIVE SERVICES OPERATED ON A FEE-FOR-SERVICE BASIS ASSISTING CITY AGENCIES TO PRACTICE SOUND GRANTS MANAGEMENT.

THE THIRD UNIT, GRANTS POLICY, INFORMS DISTRICT AGENCIES ABOUT CURRENT POLICIES AND PROCEDURES REQUIRED WHEN APPLYING FOR AND IMPLEMENTING FEDERAL AND PRIVATE GRANT AWARDS.

THE FOURTH UNIT, GRANT PROGRAMS ADMINISTRATION, SERVES AS THE DISTRICT'S STATE ADMINISTRATIVE AGENCY FOR CRIMINAL AND JUVENILE JUSTICE GRANTS.

TO GAUGE AGENCY ACTIVITY IN FISCAL YEAR 1999, OGMD DEVELOPED THE FOLLOWING PERFORMANCE OBJECTIVES:

- INCREASE THE AMOUNT OF NEW DISCRETIONARY GRANT FUNDS AWARDED TO THE DISTRICT TO \$12 MILLION;
- IDENTIFY FEDERAL DISCRETIONARY GRANT OPPORTUNITIES;
- ADVISE AGENCIES OF GRANT OPPORTUNITIES AND TRACK AGENCY RESPONSES;
- DEVELOP AN EARLY WARNING SYSTEM TO ADVISE OF GRANT OPPORTUNITIES THAT MAY BE AVAILABLE;
- IMPROVE THE QUALITY AND QUANTITY OF GRANT APPLICATIONS SUBMITTED BY THE DISTRICT OF COLUMBIA;

- DEVELOP A GRANTS POLICY AND PROCEDURES MANUAL;
- PUBLISH SUBGRANTING POLICIES AND PROCEDURES FOR AGENCIES TO FOLLOW;
- PROVIDE TRAINING TO AGENCIES ON SUBGRANTING POLICY AND PROCEDURES;
- PROVIDE DISTRICT AGENCIES WITH A ONE-PAGE GRANT AWARD PROFILE THAT ENABLES OGMD TO CAPTURE, REPORT ON, AND TRACK RELEVANT GRANT INFORMATION;
- DISTRIBUTE THE MONTHLY NOTICE OF FUNDING AVAILABILITY LOG TO THE CFO, MAYOR, THE COUNCIL, AGENCY DIRECTORS AND AGENCY GRANT PROGRAM OFFICERS (A COPY OF THE MOST RECENT LOG IS INCLUDED WITH YOUR PACKAGE), EXHIBIT A; AND
- EXPAND THE SERVICES OF THE COMPETITIVE SERVICES UNIT TO INCLUDE MONITORING, INTERNAL AUDITS, AND PRE-AWARD SITE VISITS.

IN CARRYING OUT ITS MISSION TO STRENGTHEN THE CAPACITY OF DISTRICT AGENCIES AND COMMUNITY-BASED ORGANIZATIONS, OGMD PROVIDED QUALITY GRANTS MANAGEMENT AND RESOURCE DEVELOPMENT SERVICES IN FISCAL YEAR 1999. IN ORDER TO

ACHIEVE THESE OBJECTIVES, THE FY 1999 BUDGET FOR OGMD WAS \$2.8 MILLION. THE BREAKDOWN OF EXPENDITURES AGAINST THAT BUDGET IS PROVIDED IN YOUR PACKAGE AS EXHIBIT B. OGMD DID NOT OVERSPEND IN ANY BUDGET CATEGORY.

WE ARE PROUD TO REPORT THAT FISCAL YEAR 1999 WAS A YEAR OF ACCOMPLISHMENTS. INCLUDED IN YOUR PACKAGE AS EXHIBIT C IS THE "YEAR OF ACCOMPLISHMENTS" WHICH SETS FORTH OGMD'S FY 1999 ACCOMPLISHMENTS. I WOULD LIKE TO HIGHLIGHT FOR YOU A FEW OF THE ACCOMPLISHMENTS DESCRIBED IN THAT DOCUMENT.

IN THE AREA OF RESOURCE DEVELOPMENT, WE ACCOMPLISHED THE FOLLOWING:

- DISTRICT AGENCIES RECEIVED \$27.6 MILLION IN NEW DISCRETIONARY GRANT FUNDS FROM FEDERAL SOURCES. THIS AMOUNT EXCEEDED OGMD'S INTENDED GOAL OF \$12 MILLION BY \$15 MILLION.

- INCREASED DISTRIBUTION OF THE FUNDING ALERT, A WEEKLY SUMMARY OF FEDERAL, LOCAL AND PRIVATE FUNDING OPPORTUNITIES, TO OVER 900 RECIPIENTS.
- SPONSORED A CITYWIDE CONFERENCE ON PUBLIC-PRIVATE PARTNERSHIPS FOR OVER 350 PARTICIPANTS FROM DISTRICT GOVERNMENT AND THE NONPROFIT COMMUNITY.
- CREATED A RESOURCE LIBRARY FOR DISTRICT GOVERNMENT EMPLOYEES AND NONPROFIT REPRESENTATIVES SEEKING INFORMATION ON FEDERAL FUNDING.
- INCREASED THE NUMBER OF GRANT WRITERS TO 47 CONSULTANTS WITH EXPERIENCE IN A VARIETY OF TECHNICAL FIELDS.

WE ACCOMPLISHED THE FOLLOWING IN THE COMPETITIVE SERVICES AREA:

- MANAGED 20 GRANT COMPETITIONS FOR CITY AGENCIES RESULTING IN AWARDS TOTALING \$13.2 MILLION IN FEDERAL AND LOCAL FUNDS TO THE COMMUNITY.

- ASSISTED THE DEPARTMENTS OF HUMAN SERVICES AND EMPLOYMENT SERVICES WITH GRANTING \$1.3 MILLION IN FEDERAL WELFARE-TO-WORK FUNDS.
- CONDUCTED A STAKEHOLDERS FORUM ON THE DISTRICT'S 1999 WELFARE-TO-WORK STATE PLAN.
- PERFORMED VARIOUS PROGRAM MONITORING FUNCTIONS, INTERNAL AUDITS, REVIEW PANEL COORDINATIONS AND THIRD PARTY BILLING FOR SEVERAL DISTRICT GOVERNMENT AGENCIES.

IN THE AREA OF GRANTS POLICY, OGMD ACCOMPLISHED THE FOLLOWING:

- INCREASED THE NUMBER OF SUBGRANT CERTIFICATIONS BY 20%.
- PUBLISHED AND DISTRIBUTED THE DISTRICT GRANT POLICIES AND PROCEDURES MANUAL TO DISTRICT AGENCIES.
- PROVIDED ON-SITE TRAINING ON SUBGRANT POLICIES TO 15 AGENCIES.

- TRACKED LAPSED FUNDS ON A QUARTERLY AND ANNUAL BASIS FOR THE DISTRICT.

IN THE AREA OF GRANTS PROGRAM ADMINISTRATION WE ADMINISTERED APPROXIMATELY \$17 MILLION IN FEDERAL CRIMINAL AND JUVENILE JUSTICE FORMULA AND DISCRETIONARY GRANTS.

WE ARE PLEASED TO REPORT THAT THE DISTRICT RECEIVED A STATE INCENTIVE GRANT FROM THE U. S. DEPARTMENT OF HEALTH AND HUMAN SERVICES IN THE AMOUNT OF APPROXIMATELY \$2 MILLION A YEAR FOR THREE YEARS. OGMD, THE DEPARTMENT OF HEALTH ADDICTION PREVENTION AND RECOVERY ADMINISTRATION, AND THE YOUTH SUBSTANCE ABUSE PREVENTION ADVISORY COMMITTEE ARE WORKING TOGETHER TO ENSURE THAT THE DISTRICT IMPLEMENTS SCIENCE-BASED PREVENTION PROGRAMS TO PREVENT YOUTH SUBSTANCE ABUSE.

OGMD MET ALL PERFORMANCE MEASURES FOR FISCAL YEAR 1999. IN FISCAL YEAR 2000, AS REPORTED IN THE FY2000 BUDGET

HEARING, OGMD WAS REORGANIZED BY TRANSFERRING 12 FTES AND APPROXIMATELY \$10.9 MILLION IN DEPARTMENT OF JUSTICE GRANTS TO THE OFFICE OF THE CITY ADMINISTRATOR. THE REMAINING THREE OPERATIONAL UNITS, ARE RESOURCE DEVELOPMENT, COMPETITIVE SERVICES AND GRANTS POLICY.

OGMD DEVELOPED THE FOLLOWING PERFORMANCE OBJECTIVES FOR FY 2000:

- INCREASE RESOURCES INTO THE DISTRICT TO \$30 MILLION IN NEW DISCRETIONARY GRANT FUNDS;
- ENFORCE THE CERTIFICATION OF DISTRICT AGENCIES' SUBGRANTING PROCESSES;
- DEVELOP DISTRICT-WIDE GRANT MANAGEMENT POLICY AND PROVIDE TRAINING TO AGENCIES ON GRANT APPLICATION DEVELOPMENT;
- PROVIDE TRAINING TO EXTERNAL GRANT REVIEWERS TO IMPROVE THE QUALITY OF GRANT PROGRAMS; AND
- WORK WITH AGENCIES TO REDUCE LAPSED GRANTS BY DISTRIBUTING QUARTERLY MONITORING REPORTS FROM AGENCY CFO's.

FROM OCTOBER 1999 THROUGH MID-FEBRUARY 2000, OGMD HAS MET ITS PERFORMANCE OBJECTIVES. THE FOLLOWING SERVICES AND ACTIVITIES PERFORMED BY OGMD ON BEHALF OF DISTRICT AGENCIES ILLUSTRATE OGMD'S SUCCESSES.

- WE HAVE INCREASED THE DISTRIBUTION OF THE FUNDING ALERT TO OVER 1100 RECIPIENTS;
- IDENTIFIED 36 NOTICES OF FUNDING AVAILABILITY AND DISTRIBUTED THEM TO DISTRICT AGENCIES. (PLEASE REFER TO THE ENCLOSED LOG OF FEDERAL FUNDING OPPORTUNITIES IN YOUR PACKAGE);
- HELD TWO FOCUS GROUPS WITH NONPROFIT REPRESENTATIVES AND CONDUCTED TWO ORIENTATIONS SESSIONS FOR GRANT WRITERS;
- WORKED CLOSELY WITH THE DEPARTMENT OF EMPLOYMENT SERVICES ON THE YOUTH OPPORTUNITIES GRANT FROM THE U. S. DEPARTMENT OF LABOR. LAST

WEEK, THE DISTRICT WAS AWARDED THIS MULTI-YEAR GRANT TOTALING OVER \$32 MILLION WITH FIRST YEAR GRANT FUNDS OF \$5 MILLION;

- SPONSORED AND HELD A HALF-DAY TRAINING SESSION FOR REVIEW PANELISTS WHERE 55 POTENTIAL PANELISTS ATTENDED;
- MANAGED 11 SUBGRANTING COMPETITIONS FOR AGENCIES, WHICH WILL PROVIDE APPROXIMATELY \$17.5 MILLION IN FEDERAL AND LOCAL FUNDS TO THE COMMUNITY;
- RECEIVED 139 GRANT AWARD PROFILES FROM DISTRICT AGENCIES FOR THE ESTABLISHMENT AND/OR MODIFICATION OF GRANT AWARDS;
- ESTABLISHED NEW LINKAGES WITH DISTRICT AGENCIES TO PROMOTE BETTER COMPLIANCE WITH SUBGRANTING POLICIES AND PROCEDURES; AND

- CERTIFIED EIGHT SUBGRANTING COMPETITIONS USING THE FINAL RULEMAKING OF MAY 21, 1999 SUBGRANTING POLICIES AND PROCEDURES.

WE WILL CONTINUE TO WORK WITH DISTRICT AGENCIES TO REDUCE LAPSED GRANTS BY MONITORING AND TRACKING GRANT EXPENDITURES AND WILL PROVIDE A MIDYEAR DISTRICT GRANT EXPENDITURE REPORT BY JUNE 30, 2000.

OGMD'S FY 2000 BUDGET IS \$2.2 MILLION AND CURRENT SPENDING IS IN LINE WITH THE FY 2000 SPENDING PLANS IN ALL BUDGET CATEGORIES.

THANK YOU FOR THE OPPORTUNITY TO APPEAR BEFORE YOU TO DISCUSS THE OFFICE OF GRANTS MANAGEMENT AND DEVELOPMENT'S FY 1999 AND FY 2000 PERFORMANCE OBJECTIVES.

THIS CONCLUDES MY TESTIMONY, AND I WILL BE HAPPY TO RESPOND TO ANY QUESTIONS YOU MAY HAVE AT THIS TIME.

LET ME THANK MS. BACCHUS FOR HER LEADERSHIP OF OGMD
DURING THIS TRANSITION PERIOD.