

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Activity**

Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SRO Code	FY 2018 Actual	FY 2019 Actual	FY 2020 Approved	FY 2021 Approved	Change from FY 2020	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
AGENCY MANAGEMENT	1000											
PERSONNEL	1010	112	162	173	200	26	0	200	200	0	0	0
LABOR PARTNERSHIP	1017	89	20	91	2	-89	0	2	2	0	0	0
OFFICE OF INFO. TECH. AND SUPPORT	1040	3,755	3,368	4,507	4,465	-42	0	4,465	4,465	0	0	0
OFFICE OF LEGAL SERVICES	1060	1,332	1,349	1,725	1,896	171	0	1,896	1,896	0	0	0
PUBLIC AFFAIRS	1080	860	808	1,214	1,893	679	0	1,893	1,893	0	0	0
PERFORMANCE MANAGEMENT	1090	557	743	838	1,153	315	0	1,153	1,153	0	0	0
Subtotal: AGENCY MANAGEMENT		6,704	6,451	8,549	9,609	1,061	0	9,609	9,609	0	0	0
AGENCY FINANCIAL OPERATIONS	100F											
BUDGET OPERATION	110F	309	277	341	333	-7	0	252	252	0	0	81
ACCOUNTING OPERATIONS	120F	575	588	574	620	46	0	620	620	0	0	0
ACFO	130F	209	212	224	240	15	0	240	240	0	0	0
Subtotal: AGENCY FINANCIAL OPERATIONS		1,093	1,078	1,139	1,193	54	0	1,112	1,112	0	0	81
INSURANCE	2000											
INSURANCE PRODUCTS	2010	1,108	1,042	1,239	1,428	189	0	1,428	1,428	0	0	0
FINANCIAL SURVEILLANCE	2015	870	895	901	947	45	0	947	947	0	0	0
HMO	2050	591	555	607	660	53	0	660	660	0	0	0
DC MARKET OPERATIONS INSURANCE	2080	392	699	957	1,632	675	0	1,493	1,493	139	0	0
HEALTH INSURANCE REVIEW	2090	1,098	992	650	669	19	0	669	669	0	0	0
Subtotal: INSURANCE		4,058	4,183	4,355	5,336	981	0	5,197	5,197	139	0	0
SECURITIES	3000											
CORPORATE FINANCE	3010	700	710	606	821	215	0	821	821	0	0	0
SECURITIES LICENSING	3030	486	422	432	526	94	0	526	526	0	0	0
DC MARKET OPERATIONS SECURITIES	3080	322	298	666	707	41	0	707	707	0	0	0
Subtotal: SECURITIES		1,508	1,430	1,704	2,054	350	0	2,054	2,054	0	0	0
ENFORCEMENT	4000											
ENFORCEMENT	4050	215	193	237	121	-116	0	121	121	0	0	0
INVESTIGATIONS	4060	605	761	656	1,001	346	0	1,001	1,001	0	0	0
DC MARKET COMPLIANCE ENFORCEMENT	4080	26	9	74	79	4	0	79	79	0	0	0

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Activity**

Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SRO Code	FY 2018 Actual	FY 2019 Actual	FY 2020 Approved	FY 2021 Approved	Change from FY 2020	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
Subtotal: ENFORCEMENT		846	964	967	1,201	235	0	1,201	1,201	0	0	0
BANKING	5000											
COMMUNITY OUTREACH	5040	0	0	0	2,794	2,794	0	2,742	2,742	0	0	52
LICENSING	5060	747	751	853	950	96	0	950	950	0	0	0
MARKET SERVICES	5070	2,529	1,537	3,775	3,720	-56	0	3,720	3,720	0	0	0
DC MARKET OPERATIONS BANKING	5080	1,046	498	1,994	517	-1,477	0	517	517	0	0	0
Subtotal: BANKING		4,322	2,785	6,622	7,980	1,358	0	7,928	7,928	0	0	52
RISK FINANCE	6000											
COMPLIANCE	6010	141	147	162	173	10	0	173	173	0	0	0
FINANCIAL ANALYSIS	6020	210	214	219	234	15	0	234	234	0	0	0
REGULATORY REVIEW AND LICENSING	6030	99	102	109	116	7	0	116	116	0	0	0
DC MARKET OPERATIONS RISK FINANCE	6080	50	46	211	127	-84	0	127	127	0	0	0
Subtotal: RISK FINANCE		499	509	701	650	-52	0	650	650	0	0	0
MARKET EXAMINATIONS	8000											
INSURANCE EXAMS	8010	256	259	371	287	-84	0	287	287	0	0	0
SECURITIES EXAMS	8020	324	286	363	211	-152	0	211	211	0	0	0
BANKING EXAMS	8030	1,186	1,241	1,169	1,531	362	0	1,531	1,531	0	0	0
RISK FINANCE EXAMS	8040	733	758	631	810	180	0	810	810	0	0	0
Subtotal: MARKET EXAMINATIONS		2,499	2,544	2,533	2,839	306	0	2,839	2,839	0	0	0
COMPLIANCE ANALYSIS	9000											
CONSUMER SERVICES	9010	776	747	669	902	232	0	902	902	0	0	0
MARKET RESEARCH ANALYSIS	9020	345	368	579	562	-17	0	562	562	0	0	0
DC MARKET COMPLIANCE ANALYSIS	9080	7	54	226	231	5	0	231	231	0	0	0
Subtotal: COMPLIANCE ANALYSIS		1,127	1,169	1,475	1,695	220	0	1,695	1,695	0	0	0
Total: Department of Insurance, Securities, and Banking		22,657	21,113	28,045	32,557	4,512	0	32,285	32,285	139	0	133

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

**Schedule
40-PBB**

SRO Department of Insurance, Securities, and Banking

1000 Agency Management

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	2,960	3,251	3,608	4,117	508	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,960	3,251	3,608	4,117	508
0012	124	17	89	0	-89	0	0	0	0	0	0	0	0	0	21	20	0	0	0	0	145	37	89	0	-89
0013	14	20	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14	20	0	0	0
0014	651	680	839	906	68	0	0	0	0	0	0	0	0	0	2	2	0	0	0	0	653	682	839	906	68
0015	17	36	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	17	36	0	0	0
Subtotal: PS	3,766	4,003	4,536	5,023	487	0	0	0	0	0	0	0	0	0	23	22	0	0	0	0	3,789	4,025	4,536	5,023	487
0020	36	65	78	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36	65	78	78	0
0031	192	92	111	114	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	192	92	111	114	3
0032	1,825	1,499	2,147	2,103	-44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,825	1,499	2,147	2,103	-44
0034	7	3	2	7	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	3	2	7	5
0035	25	13	54	28	-26	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25	13	54	28	-26
0040	456	357	877	950	73	0	0	0	0	0	0	0	0	0	24	30	0	0	0	0	480	387	877	950	73
0041	175	133	452	1,060	608	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	175	133	452	1,060	608
0070	175	236	291	246	-45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	175	236	291	246	-45
Subtotal: NPS	2,891	2,396	4,012	4,586	574	0	0	0	0	0	0	0	0	0	24	30	0	0	0	0	2,915	2,426	4,012	4,586	574
Total 1000	6,657	6,399	8,549	9,609	1,061	0	0	0	0	0	0	0	0	0	47	52	0	0	0	0	6,704	6,451	8,549	9,609	1,061

100F Agency Financial Operations

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	816	821	855	898	43	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	816	821	855	898	43
0013	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
0014	196	189	180	198	17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	196	189	180	198	17
Subtotal: PS	1,012	1,011	1,035	1,096	61	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,012	1,011	1,035	1,096	61
0020	0	0	0	0	0	0	0	0	0	0	0	0	0	0	16	10	21	26	5	5	16	10	21	26	5
0031	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0040	0	0	23	16	-7	0	0	0	0	0	0	0	0	0	44	38	44	44	0	0	44	38	67	60	-7
0070	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20	20	15	10	-5	-5	20	20	15	10	-5
Subtotal: NPS	0	0	23	16	-7	0	0	0	0	0	0	0	0	0	81	67	81	81	0	0	81	67	104	97	-7
Total 100F	1,012	1,011	1,058	1,112	54	0	0	0	0	0	0	0	0	0	81	67	81	81	0	0	1,093	1,078	1,139	1,193	54

2000 Insurance

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	2,442	2,431	2,601	2,995	394	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,442	2,431	2,601	2,995	394
0012	73	14	79	0	-79	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	73	14	79	0	-79
0013	1	7	57	57	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	7	57	57	0
0014	582	575	610	660	49	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	582	575	610	660	49
0015	1	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	4	0	0	0

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

**Schedule
40-PBB**

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
Subtotal: PS	3,100	3,031	3,347	3,712	365	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,100	3,031	3,347	3,712	365
0040	94	43	168	215	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	94	43	168	215	46
0041	298	656	700	1,195	495	567	452	139	139	0	0	0	0	0	0	0	0	0	0	0	864	1,109	839	1,334	495
0070	0	0	0	75	75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	75	75	
Subtotal: NPS	392	699	868	1,485	616	567	452	139	139	0	0	0	0	0	0	0	0	0	0	0	958	1,152	1,007	1,624	616
Total 2000	3,492	3,731	4,216	5,197	981	567	452	139	139	0	0	0	0	0	0	0	0	0	0	0	4,058	4,183	4,355	5,336	981

3000 Securities

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	1,082	1,039	916	1,210	294	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,082	1,039	916	1,210	294
0013	0	9	33	33	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	33	33	0
0014	267	250	240	266	27	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	267	250	240	266	27
0015	0	0	21	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21	21	0	0
Subtotal: PS	1,349	1,298	1,209	1,530	321	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,349	1,298	1,209	1,530	321
0040	104	95	228	207	-21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	104	95	228	207	-21
0041	0	0	113	114	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	113	114	1	
0070	54	36	154	204	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	54	36	154	204	50
Subtotal: NPS	158	131	495	524	29	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	158	131	495	524	29
Total 3000	1,508	1,430	1,704	2,054	350	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,508	1,430	1,704	2,054	350

4000 Enforcement

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	710	825	680	920	240	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	710	825	680	920	240
0014	111	129	213	203	-10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	111	129	213	203	-10
Subtotal: PS	820	954	892	1,123	230	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	820	954	892	1,123	230
0040	26	9	54	62	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26	9	54	62	8
0041	0	0	20	16	-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	20	16	-4	
Subtotal: NPS	26	9	74	79	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26	9	74	79	4
Total 4000	846	964	967	1,201	235	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	846	964	967	1,201	235

5000 Banking

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	1,001	958	1,147	1,328	181	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,001	958	1,147	1,328	181
0012	60	87	155	105	-50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	60	87	155	105	-50
0013	22	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	22	5	0	0	0
0014	211	213	275	315	41	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	211	213	275	315	41
0015	7	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	3	0	0	0

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

**Schedule
40-PBB**

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
Subtotal: PS	1,302	1,265	1,577	1,748	172	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,302	1,265	1,577	1,748	172
0040	103	94	111	119	8	0	0	0	0	0	0	45	0	0	0	0	0	52	52	0	103	138	163	171	8
0041	1,918	1,382	2,830	3,930	1,100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,918	1,382	2,830	3,930	1,100
0050	1,000	0	2,000	2,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,000	0	2,000	2,000	0
0070	0	0	53	131	78	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	53	131	78
Subtotal: NPS	3,021	1,475	4,994	6,180	1,186	0	0	0	0	0	0	45	0	0	0	0	0	52	52	0	3,021	1,520	5,046	6,232	1,186
Total 5000	4,322	2,740	6,570	7,928	1,358	0	0	0	0	0	0	45	0	0	0	0	0	52	52	0	4,322	2,785	6,622	7,980	1,358

6000 Risk Finance

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	383	396	405	428	24	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	383	396	405	428	24
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	66	67	85	94	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	66	67	85	94	9
Subtotal: PS	449	463	490	523	33	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	449	463	490	523	33
0040	50	46	111	52	-59	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50	46	111	52	-59
0041	0	0	100	75	-25	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	100	75	-25
Subtotal: NPS	50	46	211	127	-84	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50	46	211	127	-84
Total 6000	499	509	701	650	-52	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	499	509	701	650	-52

8000 Market Examinations

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	2,060	2,089	2,002	2,308	306	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,060	2,089	2,002	2,308	306
0013	1	19	23	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	19	23	23	0
0014	438	435	509	508	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	438	435	509	508	-1
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Subtotal: PS	2,499	2,544	2,533	2,839	306	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,499	2,544	2,533	2,839	306
Total 8000	2,499	2,544	2,533	2,839	306	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,499	2,544	2,533	2,839	306

9000 Compliance Analysis

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	882	955	1,107	1,369	261	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	882	955	1,107	1,369	261
0012	41	7	45	0	-45	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	41	7	45	0	-45
0013	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23	0	0	0	0
0014	174	182	283	301	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	174	182	283	301	18
0015	0	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0
Subtotal: PS	1,120	1,150	1,436	1,670	234	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,120	1,150	1,436	1,670	234
0040	7	19	39	25	-14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	19	39	25	-14

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
40-PBB

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
Subtotal: NPS	7	19	39	25	-14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	19	39	25	-14
Total 9000	1,127	1,169	1,475	1,695	220	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,127	1,169	1,475	1,695	220
Total budget	21,963	20,497	27,773	32,285	4,512	567	452	139	139	0	0	45	0	0	0	128	119	133	133	0	22,657	21,113	28,045	32,557	4,512

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

SR0 Department of Insurance, Securities, and Banking

1000 Agency Management

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	2,960	3,251	3,608	4,117	508	2,960	3,251	3,608	4,117	508
0012	0	0	0	0	0	0	0	0	0	0	124	17	89	0	-89	124	17	89	0	-89
0013	0	0	0	0	0	0	0	0	0	0	14	20	0	0	0	14	20	0	0	0
0014	0	0	0	0	0	0	0	0	0	0	651	680	839	906	68	651	680	839	906	68
0015	0	0	0	0	0	0	0	0	0	0	17	36	0	0	0	17	36	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	3,766	4,003	4,536	5,023	487	3,766	4,003	4,536	5,023	487
0020	0	0	0	0	0	0	0	0	0	0	36	65	78	78	0	36	65	78	78	0
0031	0	0	0	0	0	0	0	0	0	0	192	92	111	114	3	192	92	111	114	3
0032	0	0	0	0	0	0	0	0	0	0	1,825	1,499	2,147	2,103	-44	1,825	1,499	2,147	2,103	-44
0034	0	0	0	0	0	0	0	0	0	0	7	3	2	7	5	7	3	2	7	5
0035	0	0	0	0	0	0	0	0	0	0	25	13	54	28	-26	25	13	54	28	-26
0040	0	0	0	0	0	0	0	0	0	0	456	357	877	950	73	456	357	877	950	73
0041	0	0	0	0	0	0	0	0	0	0	175	133	452	1,060	608	175	133	452	1,060	608
0070	0	0	0	0	0	0	0	0	0	0	175	236	291	246	-45	175	236	291	246	-45
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	2,891	2,396	4,012	4,586	574	2,891	2,396	4,012	4,586	574
Total 1000	0	0	0	0	0	0	0	0	0	0	6,657	6,399	8,549	9,609	1,061	6,657	6,399	8,549	9,609	1,061

100F Agency Financial Operations

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	816	821	855	898	43	816	821	855	898	43
0013	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0	0	1	0	0	0
0014	0	0	0	0	0	0	0	0	0	0	196	189	180	198	17	196	189	180	198	17
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	1,012	1,011	1,035	1,096	61	1,012	1,011	1,035	1,096	61
0020	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0031	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0040	0	0	0	0	0	0	0	0	0	0	0	0	23	16	-7	0	0	23	16	-7
0070	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	0	0	23	16	-7	0	0	23	16	-7
Total 100F	0	0	0	0	0	0	0	0	0	0	1,012	1,011	1,058	1,112	54	1,012	1,011	1,058	1,112	54

2000 Insurance

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	2,442	2,431	2,601	2,995	394	2,442	2,431	2,601	2,995	394
0012	0	0	0	0	0	0	0	0	0	0	73	14	79	0	-79	73	14	79	0	-79
0013	0	0	0	0	0	0	0	0	0	0	1	7	57	57	0	1	7	57	57	0

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

**Schedule
40G-PBB**

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0014	0	0	0	0	0	0	0	0	0	0	582	575	610	660	49	582	575	610	660	49
0015	0	0	0	0	0	0	0	0	0	0	1	4	0	0	0	1	4	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	3,100	3,031	3,347	3,712	365	3,100	3,031	3,347	3,712	365
0040	0	0	0	0	0	0	0	0	0	0	94	43	168	215	46	94	43	168	215	46
0041	0	0	0	0	0	0	0	0	0	0	298	656	700	1,195	495	298	656	700	1,195	495
0070	0	0	0	0	0	0	0	0	0	0	0	0	0	75	75	0	0	0	75	75
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	392	699	868	1,485	616	392	699	868	1,485	616
Total 2000	0	0	0	0	0	0	0	0	0	0	3,492	3,731	4,216	5,197	981	3,492	3,731	4,216	5,197	981

3000 Securities

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	1,082	1,039	916	1,210	294	1,082	1,039	916	1,210	294
0013	0	0	0	0	0	0	0	0	0	0	0	9	33	33	0	0	9	33	33	0
0014	0	0	0	0	0	0	0	0	0	0	267	250	240	266	27	267	250	240	266	27
0015	0	0	0	0	0	0	0	0	0	0	0	0	21	21	0	0	0	21	21	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	1,349	1,298	1,209	1,530	321	1,349	1,298	1,209	1,530	321
0040	0	0	0	0	0	0	0	0	0	0	104	95	228	207	-21	104	95	228	207	-21
0041	0	0	0	0	0	0	0	0	0	0	0	0	113	114	1	0	0	113	114	1
0070	0	0	0	0	0	0	0	0	0	0	54	36	154	204	50	54	36	154	204	50
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	158	131	495	524	29	158	131	495	524	29
Total 3000	0	0	0	0	0	0	0	0	0	0	1,508	1,430	1,704	2,054	350	1,508	1,430	1,704	2,054	350

4000 Enforcement

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	710	825	680	920	240	710	825	680	920	240
0014	0	0	0	0	0	0	0	0	0	0	111	129	213	203	-10	111	129	213	203	-10
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	820	954	892	1,123	230	820	954	892	1,123	230
0040	0	0	0	0	0	0	0	0	0	0	26	9	54	62	8	26	9	54	62	8
0041	0	0	0	0	0	0	0	0	0	0	0	0	20	16	-4	0	0	20	16	-4
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	26	9	74	79	4	26	9	74	79	4
Total 4000	0	0	0	0	0	0	0	0	0	0	846	964	967	1,201	235	846	964	967	1,201	235

5000 Banking

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	1,001	958	1,147	1,328	181	1,001	958	1,147	1,328	181
0012	0	0	0	0	0	0	0	0	0	0	60	87	155	105	-50	60	87	155	105	-50

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0013	0	0	0	0	0	0	0	0	0	0	22	5	0	0	0	22	5	0	0	0
0014	0	0	0	0	0	0	0	0	0	0	211	213	275	315	41	211	213	275	315	41
0015	0	0	0	0	0	0	0	0	0	0	7	3	0	0	0	7	3	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	1,302	1,265	1,577	1,748	172	1,302	1,265	1,577	1,748	172
0040	0	0	0	0	0	0	0	0	0	0	103	94	111	119	8	103	94	111	119	8
0041	150	0	0	0	0	0	0	0	0	0	1,768	1,382	2,830	3,930	1,100	1,918	1,382	2,830	3,930	1,100
0050	0	0	0	0	0	0	0	0	0	0	1,000	0	2,000	2,000	0	1,000	0	2,000	2,000	0
0070	0	0	0	0	0	0	0	0	0	0	0	0	53	131	78	0	0	53	131	78
Subtotal: NPS	150	0	0	0	0	0	0	0	0	0	2,871	1,475	4,994	6,180	1,186	3,021	1,475	4,994	6,180	1,186
Total 5000	150	0	0	0	0	0	0	0	0	0	4,173	2,740	6,570	7,928	1,358	4,322	2,740	6,570	7,928	1,358

6000 Risk Finance

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	383	396	405	428	24	383	396	405	428	24
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	0	0	0	0	0	0	0	0	0	0	66	67	85	94	9	66	67	85	94	9
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	449	463	490	523	33	449	463	490	523	33
0040	0	0	0	0	0	0	0	0	0	0	50	46	111	52	-59	50	46	111	52	-59
0041	0	0	0	0	0	0	0	0	0	0	0	0	100	75	-25	0	0	100	75	-25
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	50	46	211	127	-84	50	46	211	127	-84
Total 6000	0	0	0	0	0	0	0	0	0	0	499	509	701	650	-52	499	509	701	650	-52

8000 Market Examinations

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	2,060	2,089	2,002	2,308	306	2,060	2,089	2,002	2,308	306
0013	0	0	0	0	0	0	0	0	0	0	1	19	23	23	0	1	19	23	23	0
0014	0	0	0	0	0	0	0	0	0	0	438	435	509	508	-1	438	435	509	508	-1
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	2,499	2,544	2,533	2,839	306	2,499	2,544	2,533	2,839	306
Total 8000	0	0	0	0	0	0	0	0	0	0	2,499	2,544	2,533	2,839	306	2,499	2,544	2,533	2,839	306

9000 Compliance Analysis

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	882	955	1,107	1,369	261	882	955	1,107	1,369	261
0012	0	0	0	0	0	0	0	0	0	0	41	7	45	0	-45	41	7	45	0	-45
0013	0	0	0	0	0	0	0	0	0	0	23	0	0	0	0	23	0	0	0	0

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0014	0	0	0	0	0	0	0	0	0	0	174	182	283	301	18	174	182	283	301	18
0015	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0	0	5	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	1,120	1,150	1,436	1,670	234	1,120	1,150	1,436	1,670	234
0040	0	0	0	0	0	0	0	0	0	0	7	19	39	25	-14	7	19	39	25	-14
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	7	19	39	25	-14	7	19	39	25	-14
Total 9000	0	0	0	0	0	0	0	0	0	0	1,127	1,169	1,475	1,695	220	1,127	1,169	1,475	1,695	220
Total budget	150	0	0	0	0	0	0	0	0	0	21,814	20,497	27,773	32,285	4,512	21,963	20,497	27,773	32,285	4,512

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
41

SR0 Department of Insurance, Securities, and Banking

Comptroller Source Group	General Funds					Federal Funds					Private Funds					Intra-District Funds					Gross Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	12,337	12,765	13,321	15,573	2,252	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,337	12,765	13,321	15,573	2,252
0012	299	125	368	105	-263	0	0	0	0	0	0	0	0	0	0	21	20	0	0	0	320	146	368	105	-263
0013	60	61	113	113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	60	61	113	113	0
0014	2,696	2,721	3,234	3,452	218	0	0	0	0	0	0	0	0	0	0	2	2	0	0	0	2,698	2,722	3,234	3,452	218
0015	25	48	21	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25	48	21	21	0
Subtotal: PS	15,417	15,720	17,057	19,264	2,207	0	0	0	0	0	0	0	0	0	0	23	22	0	0	0	15,441	15,742	17,057	19,264	2,207
0020	36	65	78	78	0	0	0	0	0	0	0	0	0	0	0	16	10	21	26	5	52	74	98	104	5
0031	192	92	111	114	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	193	92	111	114	3
0032	1,825	1,499	2,147	2,103	-44	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,825	1,499	2,147	2,103	-44
0034	7	3	2	7	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	3	2	7	5
0035	25	13	54	28	-26	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	25	13	54	28	-26
0040	841	664	1,611	1,646	34	0	0	0	0	0	0	45	0	0	0	68	68	96	96	0	909	776	1,708	1,742	34
0041	2,390	2,170	4,216	6,391	2,175	567	452	139	139	0	0	0	0	0	0	0	0	0	0	0	2,957	2,623	4,355	6,530	2,175
0050	1,000	0	2,000	2,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1,000	0	2,000	2,000	0
0070	229	272	498	655	158	0	0	0	0	0	0	0	0	0	0	20	20	15	10	-5	249	292	513	665	153
Subtotal: NPS	6,546	4,776	10,717	13,021	2,305	567	452	139	139	0	0	45	0	0	0	105	97	133	133	0	7,217	5,371	10,989	13,293	2,305
Total budget	21,963	20,497	27,773	32,285	4,512	567	452	139	139	0	0	45	0	0	0	128	119	133	133	0	22,657	21,113	28,045	32,557	4,512

Full Time Equivalent (FTEs)

Comptroller Source Group	General FTEs					Federal FTEs					Private FTEs					Intra-District FTEs					Gross FTEs				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0012	10	6	6	2	-4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	6	6	2	-4
0011	115	120	138	137	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	115	120	138	137	-1
Total FTEs	125	126	144	139	-5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	125	126	144	139	-5

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
41G

SRO Department of Insurance, Securities, and Banking

Comptroller Source Group	Local Funds					Dedicated Taxes					Other Funds					General Funds				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0011	0	0	0	0	0	0	0	0	0	0	12,337	12,765	13,321	15,573	2,252	12,337	12,765	13,321	15,573	2,252
0012	0	0	0	0	0	0	0	0	0	0	299	125	368	105	-263	299	125	368	105	-263
0013	0	0	0	0	0	0	0	0	0	0	60	61	113	113	0	60	61	113	113	0
0014	0	0	0	0	0	0	0	0	0	0	2,696	2,721	3,234	3,452	218	2,696	2,721	3,234	3,452	218
0015	0	0	0	0	0	0	0	0	0	0	25	48	21	21	0	25	48	21	21	0
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	15,417	15,720	17,057	19,264	2,207	15,417	15,720	17,057	19,264	2,207
0020	0	0	0	0	0	0	0	0	0	0	36	65	78	78	0	36	65	78	78	0
0031	0	0	0	0	0	0	0	0	0	0	192	92	111	114	3	192	92	111	114	3
0032	0	0	0	0	0	0	0	0	0	0	1,825	1,499	2,147	2,103	-44	1,825	1,499	2,147	2,103	-44
0034	0	0	0	0	0	0	0	0	0	0	7	3	2	7	5	7	3	2	7	5
0035	0	0	0	0	0	0	0	0	0	0	25	13	54	28	-26	25	13	54	28	-26
0040	0	0	0	0	0	0	0	0	0	0	841	664	1,611	1,646	34	841	664	1,611	1,646	34
0041	150	0	0	0	0	0	0	0	0	0	2,241	2,170	4,216	6,391	2,175	2,390	2,170	4,216	6,391	2,175
0050	0	0	0	0	0	0	0	0	0	0	1,000	0	2,000	2,000	0	1,000	0	2,000	2,000	0
0070	0	0	0	0	0	0	0	0	0	0	229	272	498	655	158	229	272	498	655	158
Subtotal: NPS	150	0	0	0	0	0	0	0	0	0	6,396	4,776	10,717	13,021	2,305	6,546	4,776	10,717	13,021	2,305
Total budget	150	0	0	0	0	0	0	0	0	0	21,814	20,497	27,773	32,285	4,512	21,963	20,497	27,773	32,285	4,512

Full Time Equivalent (FTEs)

Comptroller Source Group	Local FTEs					Dedicated FTEs					Other FTEs					General FTEs				
	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020	FY 2018 Actual	FY 2019 Actual	FY 2020 Appr	FY 2021 Appr	Change vs 2020
0012	0	0	0	0	0	0	0	0	0	0	10	6	6	2	-4	10	6	6	2	-4
0011	0	0	0	0	0	0	0	0	0	0	115	120	138	137	-1	115	120	138	137	-1
Total FTEs	0	0	0	0	0	0	0	0	0	0	125	126	144	139	-5	125	126	144	139	-5

**FY 2021 Approved Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Agency Summary
by Revenue Source**

Schedule

80

SR0 Department of Insurance, Securities, and Banking

Appropriated Fund Title	Revenue Source Code	Revenue Source Name	Budget Request	FTEs
Federal Resources				
Federal Grant Fund				
	FSM19F	FLEXIBILITY TO STABILIZE THE MARKET	\$139	0.00
Subtotal: Federal Grant Fund			\$139	0.00
Subtotal: Federal Resources			\$139	0.00
General Fund				
Special Purpose Revenue Funds ('O'Type)				
	2100	HMO ASSESSMENT	\$1,258	6.98
	2200	INSURANCE ASSESSMENT	\$9,881	47.80
	2350	SECURITIES AND BANKING FUND	\$16,369	70.02
	2800	CAPTIVE INSURANCE	\$2,777	14.20
	2951	LOAN PARTICIPATION FUND	\$2,000	0.00
Subtotal: Special Purpose Revenue Funds ('O'Type)			\$32,285	139.00
Subtotal: General Fund			\$32,285	139.00
Intra-District Funds				
Operating Intra-District Funds				
	7000	INTRA-DISTRICTS	\$133	0.00
Subtotal: Operating Intra-District Funds			\$133	0.00
Subtotal: Intra-District Funds			\$133	0.00
Total: Department of Insurance, Securities, and Banking			\$32,557	139.00