

**FY 2018 Proposed Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Activity**

Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SR0 Code	FY 2016 Actual	FY 2017 Approved	FY 2018 Request	Change from FY 2017	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
AGENCY MANAGEMENT	1000										
PERSONNEL	1010	181	194	197	3	0	197	197	0	0	0
LABOR PARTNERSHIP	1017	80	83	84	0	0	84	84	0	0	0
OFFICE OF INFO. TECH. AND SUPPORT	1040	4,015	4,687	5,388	701	0	5,344	5,344	0	0	44
OFFICE OF LEGAL SERVICES	1060	1,247	1,855	1,866	11	0	1,866	1,866	0	0	0
PUBLIC AFFAIRS	1080	621	725	792	67	0	792	792	0	0	0
PERFORMANCE MANAGEMENT	1090	456	666	789	123	0	789	789	0	0	0
Subtotal: AGENCY MANAGEMENT		6,601	8,210	9,115	906	0	9,071	9,071	0	0	44
AGENCY FINANCIAL OPERATIONS	100F										
BUDGET OPERATION	110F	272	326	313	-13	0	232	232	0	0	81
ACCOUNTING OPERATIONS	120F	522	517	527	11	0	527	527	0	0	0
ACFO	130F	197	212	212	0	0	212	212	0	0	0
Subtotal: AGENCY FINANCIAL OPERATIONS		991	1,055	1,053	-2	0	972	972	0	0	81
INSURANCE	2000										
INSURANCE PRODUCTS	2010	999	1,509	1,511	2	0	1,511	1,511	0	0	0
FINANCIAL SURVEILLANCE	2015	514	724	735	11	0	735	735	0	0	0
HMO	2050	541	561	568	7	0	568	568	0	0	0
DC MARKET OPERATIONS INSURANCE	2080	45	300	528	227	0	528	528	0	0	0
HEALTH INSURANCE REVIEW	2090	969	645	1,032	388	0	575	575	457	0	0
Subtotal: INSURANCE		3,069	3,739	4,374	635	0	3,917	3,917	457	0	0
SECURITIES	3000										
CORPORATE FINANCE	3010	740	898	865	-34	0	865	865	0	0	0
SECURITIES LICENSING	3030	406	482	468	-15	0	468	468	0	0	0
DC MARKET OPERATIONS SECURITIES	3080	185	548	710	162	0	710	710	0	0	0
Subtotal: SECURITIES		1,331	1,928	2,042	113	0	2,042	2,042	0	0	0
ENFORCEMENT	4000										
ENFORCEMENT	4050	91	235	244	9	0	244	244	0	0	0
INVESTIGATIONS	4060	534	756	920	164	0	920	920	0	0	0
DC MARKET COMPLIANCE ENFORCEMENT	4080	7	46	61	14	0	61	61	0	0	0

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30-PBB

Department of Insurance, Securities, and Banking Name	SR0 Code	FY 2016 Actual	FY 2017 Approved	FY 2018 Request	Change from FY 2017	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
Subtotal: ENFORCEMENT		631	1,037	1,224	187	0	1,224	1,224	0	0	0
BANKING	5000										
LICENSING	5060	754	871	864	-7	0	864	864	0	0	0
MARKET SERVICES	5070	2,954	3,762	3,699	-62	0	3,699	3,699	0	0	0
DC MARKET OPERATIONS BANKING	5080	581	406	1,102	697	200	902	1,102	0	0	0
Subtotal: BANKING		4,290	5,039	5,666	627	200	5,466	5,666	0	0	0
RISK FINANCE	6000										
COMPLIANCE	6010	129	145	145	0	0	145	145	0	0	0
FINANCIAL ANALYSIS	6020	197	207	207	0	0	207	207	0	0	0
REGULATORY REVIEW AND LICENSING	6030	41	103	95	-8	0	95	95	0	0	0
DC MARKET OPERATIONS RISK FINANCE	6080	48	160	169	9	0	169	169	0	0	0
Subtotal: RISK FINANCE		416	615	616	1	0	616	616	0	0	0
MARKET EXAMINATIONS	8000										
INSURANCE EXAMS	8010	241	345	345	0	0	345	345	0	0	0
SECURITIES EXAMS	8020	352	457	414	-43	0	414	414	0	0	0
BANKING EXAMS	8030	1,083	1,479	1,499	20	0	1,499	1,499	0	0	0
RISK FINANCE EXAMS	8040	665	684	693	9	0	693	693	0	0	0
Subtotal: MARKET EXAMINATIONS		2,340	2,965	2,952	-14	0	2,952	2,952	0	0	0
COMPLIANCE ANALYSIS	9000										
CONSUMER SERVICES	9010	793	976	842	-134	0	842	842	0	0	0
MARKET RESEARCH ANALYSIS	9020	223	635	654	19	0	654	654	0	0	0
DC MARKET COMPLIANCE ANALYSIS	9080	1	205	212	6	0	212	212	0	0	0
Subtotal: COMPLIANCE ANALYSIS		1,016	1,816	1,707	-109	0	1,707	1,707	0	0	0
Total: Department of Insurance, Securities, and Banking		20,684	26,404	28,748	2,344	200	27,966	28,166	457	0	125

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**Program Summary by
Comptroller Source Group**

Schedule
40-PBB

SRO Department of Insurance, Securities, and Banking

1000 Agency Management

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	2,719	3,437	3,591	154	0	0	0	0	0	0	0	0	0	0	0	0	2,719	3,437	3,591	154
0012	175	190	149	-41	0	0	0	0	0	0	0	0	19	0	0	0	194	190	149	-41
0013	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0
0014	636	740	763	23	0	0	0	0	0	0	0	0	1	0	0	0	638	740	763	23
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	3,535	4,367	4,503	136	0	0	0	0	0	0	0	0	21	0	0	0	3,556	4,367	4,503	136
0020	44	60	63	3	0	0	0	0	0	0	0	0	0	0	0	0	44	60	63	3
0031	86	95	103	8	0	0	0	0	0	0	0	0	0	0	0	0	86	95	103	8
0032	2,016	2,011	2,188	177	0	0	0	0	0	0	0	0	0	0	0	0	2,016	2,011	2,188	177
0034	8	7	7	0	0	0	0	0	0	0	0	0	0	0	0	0	8	7	7	0
0035	24	0	25	25	0	0	0	0	0	0	0	0	0	0	0	0	24	0	25	25
0040	349	790	882	92	0	0	0	0	0	0	0	0	3	44	44	0	352	834	926	92
0041	101	144	266	123	0	0	0	0	0	0	0	0	15	0	0	0	116	144	266	123
0070	399	692	1,035	342	0	0	0	0	0	0	0	0	0	0	0	0	399	692	1,035	342
Subtotal: NPS	3,027	3,799	4,568	770	0	0	0	0	0	0	0	0	18	44	44	0	3,045	3,843	4,612	770
Total 1000	6,562	8,166	9,071	906	0	0	0	0	0	0	0	0	38	44	44	0	6,601	8,210	9,115	906

100F Agency Financial Operations

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	736	790	788	-2	0	0	0	0	0	0	0	0	0	0	0	0	736	790	788	-2
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	168	161	161	0	0	0	0	0	0	0	0	0	0	0	0	0	168	161	161	0
0015	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0	0	0
Subtotal: PS	910	951	949	-2	0	0	0	0	0	0	0	0	0	0	0	0	910	951	949	-2
0020	0	0	0	0	0	0	0	0	0	0	0	0	15	15	16	1	15	15	16	1
0031	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0040	0	23	23	0	0	0	0	0	0	0	0	0	46	46	44	-2	46	69	67	-2
0070	0	0	0	0	0	0	0	0	0	0	0	0	20	20	20	0	20	20	20	0
Subtotal: NPS	0	23	23	0	0	0	0	0	0	0	0	0	81	81	81	0	81	104	104	0
Total 100F	910	974	972	-2	0	0	0	0	0	0	0	0	81	81	81	0	991	1,055	1,053	-2

2000 Insurance

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	1,681	2,309	2,351	42	559	0	0	0	0	0	0	0	0	0	0	0	2,240	2,309	2,351	42
0012	-5	458	375	-83	0	0	0	0	0	0	0	0	0	0	0	0	-5	458	375	-83
0013	1	57	57	0	0	0	0	0	0	0	0	0	0	0	0	0	1	57	57	0

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Comptroller Source Group**

**Schedule
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Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0014	358	564	556	-8	117	0	0	0	0	0	0	0	0	0	0	0	476	564	556	-8
Subtotal: PS	2,036	3,389	3,339	-50	677	0	0	0	0	0	0	0	0	0	0	0	2,713	3,389	3,339	-50
0040	40	150	178	27	2	0	0	0	0	0	0	0	0	0	0	0	42	150	178	27
0041	9	200	400	200	305	0	457	457	0	0	0	0	0	0	0	0	314	200	857	657
Subtotal: NPS	49	350	578	227	308	0	457	457	0	0	0	0	0	0	0	0	356	350	1,035	684
Total 2000	2,085	3,739	3,917	178	984	0	457	457	0	0	0	0	0	0	0	0	3,069	3,739	4,374	635

3000 Securities

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	1,019	1,236	1,132	-105	0	0	0	0	0	0	0	0	0	0	0	0	1,019	1,236	1,132	-105
0012	8	0	65	65	0	0	0	0	0	0	0	0	0	0	0	0	8	0	65	65
0013	41	33	33	0	0	0	0	0	0	0	0	0	0	0	0	0	41	33	33	0
0014	230	252	244	-8	0	0	0	0	0	0	0	0	0	0	0	0	230	252	244	-8
0015	0	21	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21	21	0
Subtotal: PS	1,298	1,542	1,494	-48	0	0	0	0	0	0	0	0	0	0	0	0	1,298	1,542	1,494	-48
0040	29	182	185	3	0	0	0	0	0	0	0	0	0	0	0	0	29	182	185	3
0041	2	109	109	0	0	0	0	0	0	0	0	0	0	0	0	0	2	109	109	0
0070	1	95	253	159	0	0	0	0	0	0	0	0	0	0	0	0	1	95	253	159
Subtotal: NPS	33	386	548	162	0	0	0	0	0	0	0	0	0	0	0	0	33	386	548	162
Total 3000	1,331	1,928	2,042	113	0	0	0	0	0	0	0	0	0	0	0	0	1,331	1,928	2,042	113

4000 Enforcement

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	526	823	966	144	0	0	0	0	0	0	0	0	0	0	0	0	526	823	966	144
0013	18	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18	0	0	0
0014	80	168	197	29	0	0	0	0	0	0	0	0	0	0	0	0	80	168	197	29
Subtotal: PS	624	991	1,164	173	0	0	0	0	0	0	0	0	0	0	0	0	624	991	1,164	173
0040	7	31	50	19	0	0	0	0	0	0	0	0	0	0	0	0	7	31	50	19
0041	0	0	10	10	0	0	0	0	0	0	0	0	0	0	0	0	0	0	10	10
0070	0	15	0	-15	0	0	0	0	0	0	0	0	0	0	0	0	0	15	0	-15
Subtotal: NPS	7	46	61	14	0	0	0	0	0	0	0	0	0	0	0	0	7	46	61	14
Total 4000	631	1,037	1,224	187	0	0	0	0	0	0	0	0	0	0	0	0	631	1,037	1,224	187

5000 Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	774	1,181	1,118	-63	0	0	0	0	0	0	0	0	0	0	0	0	774	1,181	1,118	-63

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Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0012	160	196	197	1	0	0	0	0	0	0	0	0	0	0	0	0	160	196	197	1
0013	21	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	21	0	0	0
0014	204	281	268	-13	0	0	0	0	0	0	0	0	0	0	0	0	204	281	268	-13
Subtotal: PS	1,160	1,658	1,583	-75	0	0	0	0	0	0	0	0	0	0	0	0	1,160	1,658	1,583	-75
0040	260	146	141	-5	0	0	0	0	0	0	0	0	0	0	0	0	260	146	141	-5
0041	1,284	1,227	1,922	695	0	0	0	0	0	0	0	0	0	0	0	0	1,284	1,227	1,922	695
0050	1,585	2,000	2,000	0	0	0	0	0	0	0	0	0	0	0	0	0	1,585	2,000	2,000	0
0070	0	8	20	12	0	0	0	0	0	0	0	0	0	0	0	0	0	8	20	12
Subtotal: NPS	3,130	3,381	4,082	702	0	0	0	0	0	0	0	0	0	0	0	0	3,130	3,381	4,082	702
Total 5000	4,290	5,039	5,666	627	0	0	0	0	0	0	0	0	0	0	0	0	4,290	5,039	5,666	627

6000 Risk Finance

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	305	378	371	-7	0	0	0	0	0	0	0	0	0	0	0	0	305	378	371	-7
0013	14	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14	0	0	0
0014	48	77	76	-1	0	0	0	0	0	0	0	0	0	0	0	0	48	77	76	-1
Subtotal: PS	367	455	447	-8	0	0	0	0	0	0	0	0	0	0	0	0	367	455	447	-8
0040	18	60	69	9	0	0	0	0	0	0	0	0	0	0	0	0	18	60	69	9
0041	30	100	100	0	0	0	0	0	0	0	0	0	0	0	0	0	30	100	100	0
Subtotal: NPS	48	160	169	9	0	0	0	0	0	0	0	0	0	0	0	0	48	160	169	9
Total 6000	416	615	616	1	0	0	0	0	0	0	0	0	0	0	0	0	416	615	616	1

8000 Market Examinations

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	1,886	2,444	2,433	-11	0	0	0	0	0	0	0	0	0	0	0	0	1,886	2,444	2,433	-11
0013	46	23	23	0	0	0	0	0	0	0	0	0	0	0	0	0	46	23	23	0
0014	406	499	496	-2	0	0	0	0	0	0	0	0	0	0	0	0	406	499	496	-2
0015	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0	0
Subtotal: PS	2,340	2,965	2,952	-14	0	0	0	0	0	0	0	0	0	0	0	0	2,340	2,965	2,952	-14
Total 8000	2,340	2,965	2,952	-14	0	0	0	0	0	0	0	0	0	0	0	0	2,340	2,965	2,952	-14

9000 Compliance Analysis

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	868	1,446	1,355	-91	0	0	0	0	0	0	0	0	0	0	0	0	868	1,446	1,355	-91
0012	-3	39	39	0	0	0	0	0	0	0	0	0	0	0	0	0	-3	39	39	0
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	150	303	284	-19	0	0	0	0	0	0	0	0	0	0	0	0	150	303	284	-19

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Schedule
40-PBB

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
Subtotal: PS	1,016	1,788	1,678	-110	0	0	0	0	0	0	0	0	0	0	0	0	1,016	1,788	1,678	-110
0040	1	28	29	1	0	0	0	0	0	0	0	0	0	0	0	0	1	28	29	1
Subtotal: NPS	1	28	29	1	0	0	0	0	0	0	0	0	0	0	0	0	1	28	29	1
Total 9000	1,016	1,816	1,707	-109	0	0	0	0	0	0	0	0	0	0	0	0	1,016	1,816	1,707	-109
Total budget	19,581	26,279	28,166	1,886	984	0	457	457	0	0	0	0	119	125	125	0	20,684	26,404	28,748	2,344

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Schedule
40G-PBB

SRO Department of Insurance, Securities, and Banking

1000 Agency Management

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	2,719	3,437	3,591	154	2,719	3,437	3,591	154
0012	0	0	0	0	0	0	0	0	175	190	149	-41	175	190	149	-41
0013	0	0	0	0	0	0	0	0	5	0	0	0	5	0	0	0
0014	0	0	0	0	0	0	0	0	636	740	763	23	636	740	763	23
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	3,535	4,367	4,503	136	3,535	4,367	4,503	136
0020	0	0	0	0	0	0	0	0	44	60	63	3	44	60	63	3
0031	0	0	0	0	0	0	0	0	86	95	103	8	86	95	103	8
0032	0	0	0	0	0	0	0	0	2,016	2,011	2,188	177	2,016	2,011	2,188	177
0034	0	0	0	0	0	0	0	0	8	7	7	0	8	7	7	0
0035	0	0	0	0	0	0	0	0	24	0	25	25	24	0	25	25
0040	0	0	0	0	0	0	0	0	349	790	882	92	349	790	882	92
0041	0	0	0	0	0	0	0	0	101	144	266	123	101	144	266	123
0070	0	0	0	0	0	0	0	0	399	692	1,035	342	399	692	1,035	342
Subtotal: NPS	0	0	0	0	0	0	0	0	3,027	3,799	4,568	770	3,027	3,799	4,568	770
Total 1000	0	0	0	0	0	0	0	0	6,562	8,166	9,071	906	6,562	8,166	9,071	906

100F Agency Financial Operations

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	736	790	788	-2	736	790	788	-2
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	0	0	0	0	0	0	0	0	168	161	161	0	168	161	161	0
0015	0	0	0	0	0	0	0	0	6	0	0	0	6	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	910	951	949	-2	910	951	949	-2
0020	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0031	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0040	0	0	0	0	0	0	0	0	0	23	23	0	0	23	23	0
0070	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: NPS	0	0	0	0	0	0	0	0	0	23	23	0	0	23	23	0
Total 100F	0	0	0	0	0	0	0	0	910	974	972	-2	910	974	972	-2

2000 Insurance

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	1,681	2,309	2,351	42	1,681	2,309	2,351	42
0012	0	0	0	0	0	0	0	0	-5	458	375	-83	-5	458	375	-83
0013	0	0	0	0	0	0	0	0	1	57	57	0	1	57	57	0

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**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0014	0	0	0	0	0	0	0	0	358	564	556	-8	358	564	556	-8
Subtotal: PS	0	0	0	0	0	0	0	0	2,036	3,389	3,339	-50	2,036	3,389	3,339	-50
0040	0	0	0	0	0	0	0	0	40	150	178	27	40	150	178	27
0041	0	0	0	0	0	0	0	0	9	200	400	200	9	200	400	200
Subtotal: NPS	0	0	0	0	0	0	0	0	49	350	578	227	49	350	578	227
Total 2000	0	0	0	0	0	0	0	0	2,085	3,739	3,917	178	2,085	3,739	3,917	178

3000 Securities

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	1,019	1,236	1,132	-105	1,019	1,236	1,132	-105
0012	0	0	0	0	0	0	0	0	8	0	65	65	8	0	65	65
0013	0	0	0	0	0	0	0	0	41	33	33	0	41	33	33	0
0014	0	0	0	0	0	0	0	0	230	252	244	-8	230	252	244	-8
0015	0	0	0	0	0	0	0	0	0	21	21	0	0	21	21	0
Subtotal: PS	0	0	0	0	0	0	0	0	1,298	1,542	1,494	-48	1,298	1,542	1,494	-48
0040	0	0	0	0	0	0	0	0	29	182	185	3	29	182	185	3
0041	0	0	0	0	0	0	0	0	2	109	109	0	2	109	109	0
0070	0	0	0	0	0	0	0	0	1	95	253	159	1	95	253	159
Subtotal: NPS	0	0	0	0	0	0	0	0	33	386	548	162	33	386	548	162
Total 3000	0	0	0	0	0	0	0	0	1,331	1,928	2,042	113	1,331	1,928	2,042	113

4000 Enforcement

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	526	823	966	144	526	823	966	144
0013	0	0	0	0	0	0	0	0	18	0	0	0	18	0	0	0
0014	0	0	0	0	0	0	0	0	80	168	197	29	80	168	197	29
Subtotal: PS	0	0	0	0	0	0	0	0	624	991	1,164	173	624	991	1,164	173
0040	0	0	0	0	0	0	0	0	7	31	50	19	7	31	50	19
0041	0	0	0	0	0	0	0	0	0	0	10	10	0	0	10	10
0070	0	0	0	0	0	0	0	0	0	15	0	-15	0	15	0	-15
Subtotal: NPS	0	0	0	0	0	0	0	0	7	46	61	14	7	46	61	14
Total 4000	0	0	0	0	0	0	0	0	631	1,037	1,224	187	631	1,037	1,224	187

5000 Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	774	1,181	1,118	-63	774	1,181	1,118	-63

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Schedule
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Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0012	0	0	0	0	0	0	0	0	160	196	197	1	160	196	197	1
0013	0	0	0	0	0	0	0	0	21	0	0	0	21	0	0	0
0014	0	0	0	0	0	0	0	0	204	281	268	-13	204	281	268	-13
Subtotal: PS	0	0	0	0	0	0	0	0	1,160	1,658	1,583	-75	1,160	1,658	1,583	-75
0040	0	0	0	0	0	0	0	0	260	146	141	-5	260	146	141	-5
0041	0	0	200	200	0	0	0	0	1,284	1,227	1,722	495	1,284	1,227	1,922	695
0050	0	0	0	0	0	0	0	0	1,585	2,000	2,000	0	1,585	2,000	2,000	0
0070	0	0	0	0	0	0	0	0	0	8	20	12	0	8	20	12
Subtotal: NPS	0	0	200	200	0	0	0	0	3,130	3,381	3,882	502	3,130	3,381	4,082	702
Total 5000	0	0	200	200	0	0	0	0	4,290	5,039	5,466	427	4,290	5,039	5,666	627

6000 Risk Finance

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	305	378	371	-7	305	378	371	-7
0013	0	0	0	0	0	0	0	0	14	0	0	0	14	0	0	0
0014	0	0	0	0	0	0	0	0	48	77	76	-1	48	77	76	-1
Subtotal: PS	0	0	0	0	0	0	0	0	367	455	447	-8	367	455	447	-8
0040	0	0	0	0	0	0	0	0	18	60	69	9	18	60	69	9
0041	0	0	0	0	0	0	0	0	30	100	100	0	30	100	100	0
Subtotal: NPS	0	0	0	0	0	0	0	0	48	160	169	9	48	160	169	9
Total 6000	0	0	0	0	0	0	0	0	416	615	616	1	416	615	616	1

8000 Market Examinations

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	1,886	2,444	2,433	-11	1,886	2,444	2,433	-11
0013	0	0	0	0	0	0	0	0	46	23	23	0	46	23	23	0
0014	0	0	0	0	0	0	0	0	406	499	496	-2	406	499	496	-2
0015	0	0	0	0	0	0	0	0	3	0	0	0	3	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	2,340	2,965	2,952	-14	2,340	2,965	2,952	-14
Total 8000	0	0	0	0	0	0	0	0	2,340	2,965	2,952	-14	2,340	2,965	2,952	-14

9000 Compliance Analysis

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	868	1,446	1,355	-91	868	1,446	1,355	-91
0012	0	0	0	0	0	0	0	0	-3	39	39	0	-3	39	39	0
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	0	0	0	0	0	0	0	0	150	303	284	-19	150	303	284	-19

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**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
Subtotal: PS	0	0	0	0	0	0	0	0	1,016	1,788	1,678	-110	1,016	1,788	1,678	-110
0040	0	0	0	0	0	0	0	0	1	28	29	1	1	28	29	1
Subtotal: NPS	0	0	0	0	0	0	0	0	1	28	29	1	1	28	29	1
Total 9000	0	0	0	0	0	0	0	0	1,016	1,816	1,707	-109	1,016	1,816	1,707	-109
Total budget	0	0	200	200	0	0	0	0	19,581	26,279	27,966	1,686	19,581	26,279	28,166	1,886

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**Program Summary by
Comptroller Source Group**

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SRO Department of Insurance, Securities, and Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	10,514	14,043	14,104	60	559	0	0	0	0	0	0	0	0	0	0	0	11,073	14,043	14,104	60
0012	336	883	825	-58	0	0	0	0	0	0	0	0	19	0	0	0	355	883	825	-58
0013	147	113	113	0	0	0	0	0	0	0	0	0	0	0	0	0	147	113	113	0
0014	2,281	3,045	3,045	0	117	0	0	0	0	0	0	0	1	0	0	0	2,400	3,045	3,045	0
0015	9	21	21	0	0	0	0	0	0	0	0	0	0	0	0	0	9	21	21	0
Subtotal: PS	13,287	18,106	18,108	2	677	0	0	0	0	0	0	0	21	0	0	0	13,984	18,106	18,108	2
0020	44	60	63	3	0	0	0	0	0	0	0	0	15	15	16	1	59	75	79	4
0031	86	95	103	8	0	0	0	0	0	0	0	0	0	0	0	0	86	95	104	9
0032	2,016	2,011	2,188	177	0	0	0	0	0	0	0	0	0	0	0	0	2,016	2,011	2,188	177
0034	8	7	7	0	0	0	0	0	0	0	0	0	0	0	0	0	8	7	7	0
0035	24	0	25	25	0	0	0	0	0	0	0	0	0	0	0	0	24	0	25	25
0040	704	1,411	1,556	146	2	0	0	0	0	0	0	0	49	90	88	-2	755	1,501	1,645	144
0041	1,426	1,780	2,808	1,028	305	0	457	457	0	0	0	0	15	0	0	0	1,746	1,780	3,265	1,485
0050	1,585	2,000	2,000	0	0	0	0	0	0	0	0	0	0	0	0	0	1,585	2,000	2,000	0
0070	401	810	1,308	498	0	0	0	0	0	0	0	0	20	20	20	0	421	830	1,328	498
Subtotal: NPS	6,294	8,173	10,057	1,884	308	0	457	457	0	0	0	0	99	125	125	0	6,700	8,298	10,640	2,341
Total budget	19,581	26,279	28,166	1,886	984	0	457	457	0	0	0	0	119	125	125	0	20,684	26,404	28,748	2,344

Full Time Equivalent (FTEs)

Comptroller Source Group	General FTEs				Federal FTEs				Private FTEs				Intra-District FTEs				Gross FTEs			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0012	6	13	12	-1	0	0	0	0	0	0	0	0	0	0	0	0	6	13	12	-1
0011	104	137	138	1	0	0	0	0	0	0	0	0	0	0	0	0	104	137	138	1
Total FTEs	110	150	150	0	0	0	0	0	0	0	0	0	0	0	0	0	110	150	150	0

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**Program Summary by
Comptroller Source Group**

Schedule
41G

SRO Department of Insurance, Securities, and Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0011	0	0	0	0	0	0	0	0	10,514	14,043	14,104	60	10,514	14,043	14,104	60
0012	0	0	0	0	0	0	0	0	336	883	825	-58	336	883	825	-58
0013	0	0	0	0	0	0	0	0	147	113	113	0	147	113	113	0
0014	0	0	0	0	0	0	0	0	2,281	3,045	3,045	0	2,281	3,045	3,045	0
0015	0	0	0	0	0	0	0	0	9	21	21	0	9	21	21	0
Subtotal: PS	0	0	0	0	0	0	0	0	13,287	18,106	18,108	2	13,287	18,106	18,108	2
0020	0	0	0	0	0	0	0	0	44	60	63	3	44	60	63	3
0031	0	0	0	0	0	0	0	0	86	95	103	8	86	95	103	8
0032	0	0	0	0	0	0	0	0	2,016	2,011	2,188	177	2,016	2,011	2,188	177
0034	0	0	0	0	0	0	0	0	8	7	7	0	8	7	7	0
0035	0	0	0	0	0	0	0	0	24	0	25	25	24	0	25	25
0040	0	0	0	0	0	0	0	0	704	1,411	1,556	146	704	1,411	1,556	146
0041	0	0	200	200	0	0	0	0	1,426	1,780	2,608	828	1,426	1,780	2,808	1,028
0050	0	0	0	0	0	0	0	0	1,585	2,000	2,000	0	1,585	2,000	2,000	0
0070	0	0	0	0	0	0	0	0	401	810	1,308	498	401	810	1,308	498
Subtotal: NPS	0	0	200	200	0	0	0	0	6,294	8,173	9,857	1,684	6,294	8,173	10,057	1,884
Total budget	0	0	200	200	0	0	0	0	19,581	26,279	27,966	1,686	19,581	26,279	28,166	1,886

Full Time Equivalent (FTEs)

Comptroller Source Group	Local FTEs				Dedicated FTEs				Other FTEs				General FTEs			
	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017	FY 2016 Actual	FY 2017 Appr	FY 2018 Req	Change vs 2017
0012	0	0	0	0	0	0	0	0	6	13	12	-1	6	13	12	-1
0011	0	0	0	0	0	0	0	0	104	137	138	1	104	137	138	1
Total FTEs	0	0	0	0	0	0	0	0	110	150	150	0	110	150	150	0

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**Agency Summary
by Revenue Source**

Schedule

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SR0 Department of Insurance, Securities, and Banking

Appropriated Fund Title	Revenue Source Code	Revenue Source Name	Budget Request	FTEs
General Fund				
Local Fund				
	APPR		\$200	0.00
Subtotal: Local Fund			\$200	0.00
Special Purpose Revenue Funds ('O'Type)				
	2100	HMO ASSESSMENT	\$1,155	7.28
	2200	INSURANCE ASSESSMENT	\$8,436	51.33
	2350	SECURITIES AND BANKING FUND	\$13,157	76.09
	2800	CAPTIVE INSURANCE	\$2,588	14.90
	2911	FORECLOSURE MEDIATION FUND (TEMPORARY)	\$630	0.00
	2951	LOAN PARTICIPATION FUND	\$2,000	0.00
Subtotal: Special Purpose Revenue Funds ('O'Type)			\$27,966	149.60
Subtotal: General Fund			\$28,166	149.60
Federal Resources				
Federal Grant Fund				
	IMR17F	INSURANCE MARKET REFORMS GRANT	\$457	0.00
Subtotal: Federal Grant Fund			\$457	0.00
Subtotal: Federal Resources			\$457	0.00
Intra-District Funds				
Operating Intra-District Funds				
	7000	INTRA-DISTRICTS	\$125	0.00
Subtotal: Operating Intra-District Funds			\$125	0.00
Subtotal: Intra-District Funds			\$125	0.00
Total: Department of Insurance, Securities, and Banking			\$28,748	149.60