

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Activity Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SRO Code	FY 2010 Actual	FY 2011 Approved	FY 2012 Request	Change from FY 2011	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
AGENCY MANAGEMENT PROGRAM	1000										
PERSONNEL	1010	0	85	98	13	0	98	98	0	0	0
LABOR PATNERSHIP	1017	74	67	70	3	0	70	70	0	0	0
OFFICE OF INFO TECH & SUPPORT	1040	979	955	922	-32	0	922	922	0	0	0
RISK MANAGEMENT	1055	532	260	248	-12	0	248	248	0	0	0
PUBLIC AFFAIRS	1080	425	317	325	9	0	325	325	0	0	0
PERFORMANCE MANAGEMENT	1090	407	427	569	141	0	569	569	0	0	0
CONSUMER PROTECTION	1095	0	259	0	-259	0	0	0	0	0	0
Subtotal: AGENCY MANAGEMENT PROGRAM		2,418	2,370	2,233	-137	0	2,233	2,233	0	0	0
AGENCY FINANCIAL OPERATIONS	100F										
BUDGET OPERATION	110F	152	121	127	6	0	127	127	0	0	0
ACCOUTNING OPERATIONS	120F	365	379	386	6	0	386	386	0	0	0
ACFO	130F	161	165	173	7	0	173	173	0	0	0
Subtotal: AGENCY FINANCIAL OPERATIONS		678	666	685	19	0	685	685	0	0	0
INSURANCE PROGRAM	2000										
INSURANCE PRODUCTS	2010	3,041	0	0	0	0	0	0	0	0	0
CONSUMER AND PROF LICENSING	2020	1,154	0	0	0	0	0	0	0	0	0
FINANCIAL EXAMINATION	2030	886	0	0	0	0	0	0	0	0	0
FORMS ANALYSIS	2040	0	2,753	3,149	396	0	3,149	3,149	0	0	0
MARKET CONDUCT	2045	0	170	177	7	0	177	177	0	0	0
HMO	2050	450	464	623	159	0	623	623	0	0	0
CONSUMER SERVICES	2055	0	666	1,071	405	0	1,071	1,071	0	0	0
PROFESSIONAL SERVICES	2060	0	362	521	159	0	521	521	0	0	0
FINANCIAL REGULATORY	2065	0	617	683	66	0	683	683	0	0	0
ACTUARIAL ANALYSIS	2070	0	296	379	83	0	379	379	0	0	0
Subtotal: INSURANCE PROGRAM		5,532	5,328	6,603	1,275	0	6,603	6,603	0	0	0
SECURITIES PROGRAM	3000										
CORPORATE FINANCE	3010	1,427	1,391	0	-1,391	0	0	0	0	0	0
SECURITIES EXAMINATION	3020	585	552	0	-552	0	0	0	0	0	0
SECURITIES LICENSING	3030	433	397	0	-397	0	0	0	0	0	0
Subtotal: SECURITIES PROGRAM		2,445	2,341	0	-2,341	0	0	0	0	0	0

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Program Summary by
Activity Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SR0 Code	FY 2010 Actual	FY 2011 Approved	FY 2012 Request	Change from FY 2011	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
ENFORCEMENT PROGRAM	4000										
COMPLIANCE	4010	196	0	0	0	0	0	0	0	0	0
INVESTIGATIVE	4020	695	0	0	0	0	0	0	0	0	0
ENFORCEMENT	4050	0	301	207	-94	0	207	207	0	0	0
INVESTIGATIONS	4060	0	699	821	122	0	821	821	0	0	0
Subtotal: ENFORCEMENT PROGRAM		891	1,000	1,028	28	0	1,028	1,028	0	0	0
BANKING	5000										
DEPOSITORY	5010	609	0	0	0	0	0	0	0	0	0
NON DEPOSITORY	5020	1,130	0	0	0	0	0	0	0	0	0
EXAMINATION	5055	0	1,680	0	-1,680	0	0	0	0	0	0
LICENSING	5060	0	426	0	-426	0	0	0	0	0	0
Subtotal: BANKING		1,739	2,106	0	-2,106	0	0	0	0	0	0
RISK FINANCE	6000										
COMPLIANCE	6010	714	0	0	0	0	0	0	0	0	0
FINANCIAL ANALYSIS	6020	597	1,277	1,511	234	0	1,511	1,511	0	0	0
Subtotal: RISK FINANCE		1,310	1,277	1,511	234	0	1,511	1,511	0	0	0
SECURITIES AND BANKING	7000										
CORPORATE FINANCE	7010	0	0	2,951	2,951	0	2,951	2,951	0	0	0
SECURITIES AND BANKING EXAMINATION	7020	0	0	1,226	1,226	0	1,226	1,226	0	0	0
SECURITIES AND BANKING LICENSING	7030	0	0	805	805	0	805	805	0	0	0
Subtotal: SECURITIES AND BANKING		0	0	4,983	4,983	0	4,983	4,983	0	0	0
Total: Department of Insurance, Securities, and Banking		15,013	15,088	17,042	1,955	0	17,042	17,042	0	0	0

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Comptroller Source Group

Schedule
40-PBB

SR0 Department of Insurance, Securities, and Banking

1000 Agency Management Program

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	1,780	1,928	1,856	-73	0	0	0	0	0	0	0	0	0	0	0	0	1,780	1,928	1,856	-73
0012	81	70	0	-70	0	0	0	0	0	0	0	0	0	0	0	0	81	70	0	-70
0013	144	10	2	-9	0	0	0	0	0	0	0	0	0	0	0	0	144	10	2	-9
0014	412	361	376	15	0	0	0	0	0	0	0	0	0	0	0	0	412	361	376	15
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	2,418	2,370	2,233	-137	0	0	0	0	0	0	0	0	0	0	0	0	2,418	2,370	2,233	-137
Total 1000	2,418	2,370	2,233	-137	0	0	0	0	0	0	0	0	0	0	0	0	2,418	2,370	2,233	-137

100F Agency Financial Operations

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	570	559	566	7	0	0	0	0	0	0	0	0	0	0	0	0	570	559	566	7
0014	107	102	115	13	0	0	0	0	0	0	0	0	0	0	0	0	107	102	115	13
0015	0	5	5	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	5	0
Subtotal: PS	678	666	685	19	0	0	0	0	0	0	0	0	0	0	0	0	678	666	685	19
Total 100F	678	666	685	19	0	0	0	0	0	0	0	0	0	0	0	0	678	666	685	19

2000 Insurance Program

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	2,535	2,111	2,922	811	0	0	0	0	0	0	0	0	0	0	0	0	2,535	2,111	2,922	811
0012	280	291	202	-89	0	0	0	0	0	0	0	0	0	0	0	0	280	291	202	-89
0013	54	74	54	-19	0	0	0	0	0	0	0	0	0	0	0	0	54	74	54	-19
0014	538	441	632	191	0	0	0	0	0	0	0	0	0	0	0	0	538	441	632	191
0015	1	10	10	0	0	0	0	0	0	0	0	0	0	0	0	0	1	10	10	0
Subtotal: PS	3,407	2,927	3,821	894	0	0	0	0	0	0	0	0	0	0	0	0	3,407	2,927	3,821	894
0020	18	32	37	4	0	0	0	0	0	0	0	0	0	0	0	0	18	32	37	4
0031	35	42	41	-1	0	0	0	0	0	0	0	0	0	0	0	0	35	42	41	-1
0032	966	811	864	53	0	0	0	0	0	0	0	0	0	0	0	0	966	811	864	53
0033	0	0	11	11	0	0	0	0	0	0	0	0	0	0	0	0	0	0	11	11
0035	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0	0
0040	361	621	679	58	0	0	0	0	0	0	0	0	60	0	0	0	421	621	679	58
0041	5	46	200	154	0	0	0	0	0	0	0	0	0	0	0	0	5	46	200	154
0050	515	549	655	107	0	0	0	0	0	0	0	0	0	0	0	0	515	549	655	107
0070	162	299	295	-4	0	0	0	0	0	0	0	0	0	0	0	0	162	299	295	-4
Subtotal: NPS	2,065	2,401	2,782	381	0	0	0	0	0	0	0	0	60	0	0	0	2,125	2,401	2,782	381
Total 2000	5,472	5,328	6,603	1,275	0	0	0	0	0	0	0	0	60	0	0	0	5,532	5,328	6,603	1,275

3000 Securities Program

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Program Summary by
Comptroller Source Group

Schedule
40-PBB

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	1,250	1,067	0	-1,067	0	0	0	0	0	0	0	0	0	0	0	0	1,250	1,067	0	-1,067
0012	28	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	28	0	0	0
0013	27	20	0	-20	0	0	0	0	0	0	0	0	0	0	0	0	27	20	0	-20
0014	234	196	0	-196	0	0	0	0	0	0	0	0	0	0	0	0	234	196	0	-196
0015	0	4	0	-4	0	0	0	0	0	0	0	0	0	0	0	0	0	4	0	-4
Subtotal: PS	1,539	1,286	0	-1,286	0	0	0	0	0	0	0	0	0	0	0	0	1,539	1,286	0	-1,286
0020	8	19	0	-19	0	0	0	0	0	0	0	0	0	0	0	0	8	19	0	-19
0031	15	18	0	-18	0	0	0	0	0	0	0	0	0	0	0	0	15	18	0	-18
0032	423	340	0	-340	0	0	0	0	0	0	0	0	0	0	0	0	423	340	0	-340
0035	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0
0040	160	298	0	-298	0	0	0	0	0	0	0	0	0	0	0	0	160	298	0	-298
0041	2	35	0	-35	0	0	0	0	0	0	0	0	0	0	0	0	2	35	0	-35
0050	225	230	0	-230	0	0	0	0	0	0	0	0	0	0	0	0	225	230	0	-230
0070	71	114	0	-114	0	0	0	0	0	0	0	0	0	0	0	0	71	114	0	-114
Subtotal: NPS	906	1,054	0	-1,054	0	0	0	0	0	0	0	0	0	0	0	0	906	1,054	0	-1,054
Total 3000	2,445	2,341	0	-2,341	0	0	0	0	0	0	0	0	0	0	0	0	2,445	2,341	0	-2,341

4000 Enforcement Program

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	757	843	852	9	0	0	0	0	0	0	0	0	0	0	0	0	757	843	852	9
0013	25	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	25	1	1	0
0014	109	153	172	19	0	0	0	0	0	0	0	0	0	0	0	0	109	153	172	19
0015	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2	0
Subtotal: PS	891	1,000	1,028	28	0	0	0	0	0	0	0	0	0	0	0	0	891	1,000	1,028	28
Total 4000	891	1,000	1,028	28	0	0	0	0	0	0	0	0	0	0	0	0	891	1,000	1,028	28

5000 Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	744	1,002	0	-1,002	0	0	0	0	0	0	0	0	0	0	0	0	744	1,002	0	-1,002
0013	2	8	0	-8	0	0	0	0	0	0	0	0	0	0	0	0	2	8	0	-8
0014	145	184	0	-184	0	0	0	0	0	0	0	0	0	0	0	0	145	184	0	-184
Subtotal: PS	891	1,194	0	-1,194	0	0	0	0	0	0	0	0	0	0	0	0	891	1,194	0	-1,194
0020	7	11	0	-11	0	0	0	0	0	0	0	0	0	0	0	0	7	11	0	-11
0031	14	16	0	-16	0	0	0	0	0	0	0	0	0	0	0	0	14	16	0	-16
0032	403	308	0	-308	0	0	0	0	0	0	0	0	0	0	0	0	403	308	0	-308
0035	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
0040	138	242	0	-242	0	0	0	0	0	0	0	0	0	0	0	0	138	242	0	-242
0041	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0
0050	214	209	0	-209	0	0	0	0	0	0	0	0	0	0	0	0	214	209	0	-209
0070	68	126	0	-126	0	0	0	0	0	0	0	0	0	0	0	0	68	126	0	-126

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Comptroller Source Group

Schedule
40-PBB

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
Subtotal: NPS	848	912	0	-912	0	0	0	0	0	0	0	0	0	0	0	0	848	912	0	-912
Total 5000	1,739	2,106	0	-2,106	0	0	0	0	0	0	0	0	0	0	0	1,739	2,106	0	-2,106	

6000 Risk Finance

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	687	661	783	122	0	0	0	0	0	0	0	0	0	0	0	0	687	661	783	122
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	130	124	158	35	0	0	0	0	0	0	0	0	0	0	0	0	130	124	158	35
0015	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0
Subtotal: PS	817	786	942	156	0	0	0	0	0	0	0	0	0	0	0	0	817	786	942	156
0020	4	12	8	-4	0	0	0	0	0	0	0	0	0	0	0	0	4	12	8	-4
0031	8	8	9	1	0	0	0	0	0	0	0	0	0	0	0	0	8	8	9	1
0032	221	162	194	32	0	0	0	0	0	0	0	0	0	0	0	0	221	162	194	32
0033	0	0	2	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2
0035	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
0040	103	136	141	6	0	0	0	0	0	0	0	0	0	0	0	0	103	136	141	6
0041	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
0050	118	110	147	37	0	0	0	0	0	0	0	0	0	0	0	0	118	110	147	37
0070	37	63	66	3	0	0	0	0	0	0	0	0	0	0	0	0	37	63	66	3
Subtotal: NPS	493	491	568	77	0	0	0	0	0	0	0	0	0	0	0	0	493	491	568	77
Total 6000	1,310	1,277	1,511	234	0	0	0	0	0	0	0	0	0	0	0	0	1,310	1,277	1,511	234

7000 Securities And Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	2,326	2,326	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,326	2,326
0012	0	0	50	50	0	0	0	0	0	0	0	0	0	0	0	0	0	0	50	50
0013	0	0	56	56	0	0	0	0	0	0	0	0	0	0	0	0	0	0	56	56
0014	0	0	481	481	0	0	0	0	0	0	0	0	0	0	0	0	0	0	481	481
0015	0	0	4	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4
Subtotal: PS	0	0	2,915	2,915	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,915	2,915
0020	0	0	30	30	0	0	0	0	0	0	0	0	0	0	0	0	0	0	30	30
0031	0	0	34	34	0	0	0	0	0	0	0	0	0	0	0	0	0	0	34	34
0032	0	0	705	705	0	0	0	0	0	0	0	0	0	0	0	0	0	0	705	705
0033	0	0	9	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	9	9
0040	0	0	514	514	0	0	0	0	0	0	0	0	0	0	0	0	0	0	514	514
0050	0	0	535	535	0	0	0	0	0	0	0	0	0	0	0	0	0	0	535	535
0070	0	0	241	241	0	0	0	0	0	0	0	0	0	0	0	0	0	0	241	241
Subtotal: NPS	0	0	2,067	2,067	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2,067	2,067
Total 7000	0	0	4,983	4,983	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4,983	4,983
Total budget	14,953	15,088	17,042	1,955	0	0	0	0	0	0	0	0	60	0	0	0	15,013	15,088	17,042	1,955

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Program Summary by
Comptroller Source Group

Schedule
40G-PBB

SR0 Department of Insurance, Securities, and Banking

1000 Agency Management Program

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	1,780	1,928	1,856	-73	1,780	1,928	1,856	-73
0012	0	0	0	0	0	0	0	0	81	70	0	-70	81	70	0	-70
0013	0	0	0	0	0	0	0	0	144	10	2	-9	144	10	2	-9
0014	0	0	0	0	0	0	0	0	412	361	376	15	412	361	376	15
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	2,418	2,370	2,233	-137	2,418	2,370	2,233	-137
Total 1000	0	0	0	0	0	0	0	0	2,418	2,370	2,233	-137	2,418	2,370	2,233	-137

100F Agency Financial Operations

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	570	559	566	7	570	559	566	7
0014	0	0	0	0	0	0	0	0	107	102	115	13	107	102	115	13
0015	0	0	0	0	0	0	0	0	0	5	5	0	0	5	5	0
Subtotal: PS	0	0	0	0	0	0	0	0	678	666	685	19	678	666	685	19
Total 100F	0	0	0	0	0	0	0	0	678	666	685	19	678	666	685	19

2000 Insurance Program

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	2,535	2,111	2,922	811	2,535	2,111	2,922	811
0012	0	0	0	0	0	0	0	0	280	291	202	-89	280	291	202	-89
0013	0	0	0	0	0	0	0	0	54	74	54	-19	54	74	54	-19
0014	0	0	0	0	0	0	0	0	538	441	632	191	538	441	632	191
0015	0	0	0	0	0	0	0	0	1	10	10	0	1	10	10	0
Subtotal: PS	0	0	0	0	0	0	0	0	3,407	2,927	3,821	894	3,407	2,927	3,821	894
0020	0	0	0	0	0	0	0	0	18	32	37	4	18	32	37	4
0031	0	0	0	0	0	0	0	0	35	42	41	-1	35	42	41	-1
0032	0	0	0	0	0	0	0	0	966	811	864	53	966	811	864	53
0033	0	0	0	0	0	0	0	0	0	0	11	11	0	0	11	11
0035	0	0	0	0	0	0	0	0	3	0	0	0	3	0	0	0
0040	0	0	0	0	0	0	0	0	361	621	679	58	361	621	679	58
0041	0	0	0	0	0	0	0	0	5	46	200	154	5	46	200	154
0050	0	0	0	0	0	0	0	0	515	549	655	107	515	549	655	107
0070	0	0	0	0	0	0	0	0	162	299	295	-4	162	299	295	-4
Subtotal: NPS	0	0	0	0	0	0	0	0	2,065	2,401	2,782	381	2,065	2,401	2,782	381
Total 2000	0	0	0	0	0	0	0	0	5,472	5,328	6,603	1,275	5,472	5,328	6,603	1,275

3000 Securities Program

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Comptroller Source Group

Schedule
40G-PBB

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	1,250	1,067	0	-1,067	1,250	1,067	0	-1,067
0012	0	0	0	0	0	0	0	0	28	0	0	0	28	0	0	0
0013	0	0	0	0	0	0	0	0	27	20	0	-20	27	20	0	-20
0014	0	0	0	0	0	0	0	0	234	196	0	-196	234	196	0	-196
0015	0	0	0	0	0	0	0	0	0	4	0	-4	0	4	0	-4
Subtotal: PS	0	0	0	0	0	0	0	0	1,539	1,286	0	-1,286	1,539	1,286	0	-1,286
0020	0	0	0	0	0	0	0	0	8	19	0	-19	8	19	0	-19
0031	0	0	0	0	0	0	0	0	15	18	0	-18	15	18	0	-18
0032	0	0	0	0	0	0	0	0	423	340	0	-340	423	340	0	-340
0035	0	0	0	0	0	0	0	0	2	0	0	0	2	0	0	0
0040	0	0	0	0	0	0	0	0	160	298	0	-298	160	298	0	-298
0041	0	0	0	0	0	0	0	0	2	35	0	-35	2	35	0	-35
0050	0	0	0	0	0	0	0	0	225	230	0	-230	225	230	0	-230
0070	0	0	0	0	0	0	0	0	71	114	0	-114	71	114	0	-114
Subtotal: NPS	0	0	0	0	0	0	0	0	906	1,054	0	-1,054	906	1,054	0	-1,054
Total 3000	0	0	0	0	0	0	0	0	2,445	2,341	0	-2,341	2,445	2,341	0	-2,341

4000 Enforcement Program

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	757	843	852	9	757	843	852	9
0013	0	0	0	0	0	0	0	0	25	1	1	0	25	1	1	0
0014	0	0	0	0	0	0	0	0	109	153	172	19	109	153	172	19
0015	0	0	0	0	0	0	0	0	0	2	2	0	0	2	2	0
Subtotal: PS	0	0	0	0	0	0	0	0	891	1,000	1,028	28	891	1,000	1,028	28
Total 4000	0	0	0	0	0	0	0	0	891	1,000	1,028	28	891	1,000	1,028	28

5000 Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	744	1,002	0	-1,002	744	1,002	0	-1,002
0013	0	0	0	0	0	0	0	0	2	8	0	-8	2	8	0	-8
0014	0	0	0	0	0	0	0	0	145	184	0	-184	145	184	0	-184
Subtotal: PS	0	0	0	0	0	0	0	0	891	1,194	0	-1,194	891	1,194	0	-1,194
0020	0	0	0	0	0	0	0	0	7	11	0	-11	7	11	0	-11
0031	0	0	0	0	0	0	0	0	14	16	0	-16	14	16	0	-16
0032	0	0	0	0	0	0	0	0	403	308	0	-308	403	308	0	-308
0035	0	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0
0040	0	0	0	0	0	0	0	0	138	242	0	-242	138	242	0	-242
0041	0	0	0	0	0	0	0	0	2	0	0	0	2	0	0	0
0050	0	0	0	0	0	0	0	0	214	209	0	-209	214	209	0	-209
0070	0	0	0	0	0	0	0	0	68	126	0	-126	68	126	0	-126

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Comptroller Source Group

Schedule
40G-PBB

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
Subtotal: NPS	0	0	0	0	0	0	0	0	848	912	0	-912	848	912	0	-912
Total 5000	0	0	0	0	0	0	0	0	1,739	2,106	0	-2,106	1,739	2,106	0	-2,106

6000 Risk Finance

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	687	661	783	122	687	661	783	122
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	0	0	0	0	0	0	0	0	130	124	158	35	130	124	158	35
0015	0	0	0	0	0	0	0	0	0	1	1	0	0	1	1	0
Subtotal: PS	0	0	0	0	0	0	0	0	817	786	942	156	817	786	942	156
0020	0	0	0	0	0	0	0	0	4	12	8	-4	4	12	8	-4
0031	0	0	0	0	0	0	0	0	8	8	9	1	8	8	9	1
0032	0	0	0	0	0	0	0	0	221	162	194	32	221	162	194	32
0033	0	0	0	0	0	0	0	0	0	0	2	2	0	0	2	2
0035	0	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0
0040	0	0	0	0	0	0	0	0	103	136	141	6	103	136	141	6
0041	0	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0
0050	0	0	0	0	0	0	0	0	118	110	147	37	118	110	147	37
0070	0	0	0	0	0	0	0	0	37	63	66	3	37	63	66	3
Subtotal: NPS	0	0	0	0	0	0	0	0	493	491	568	77	493	491	568	77
Total 6000	0	0	0	0	0	0	0	0	1,310	1,277	1,511	234	1,310	1,277	1,511	234

7000 Securities And Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	0	0	2,326	2,326	0	0	2,326	2,326
0012	0	0	0	0	0	0	0	0	0	0	50	50	0	0	50	50
0013	0	0	0	0	0	0	0	0	0	0	56	56	0	0	56	56
0014	0	0	0	0	0	0	0	0	0	0	481	481	0	0	481	481
0015	0	0	0	0	0	0	0	0	0	0	4	4	0	0	4	4
Subtotal: PS	0	0	0	0	0	0	0	0	0	0	2,915	2,915	0	0	2,915	2,915
0020	0	0	0	0	0	0	0	0	0	0	30	30	0	0	30	30
0031	0	0	0	0	0	0	0	0	0	0	34	34	0	0	34	34
0032	0	0	0	0	0	0	0	0	0	0	705	705	0	0	705	705
0033	0	0	0	0	0	0	0	0	0	0	9	9	0	0	9	9
0040	0	0	0	0	0	0	0	0	0	0	514	514	0	0	514	514
0050	0	0	0	0	0	0	0	0	0	0	535	535	0	0	535	535
0070	0	0	0	0	0	0	0	0	0	0	241	241	0	0	241	241
Subtotal: NPS	0	0	0	0	0	0	0	0	0	0	2,067	2,067	0	0	2,067	2,067
Total 7000	0	0	0	0	0	0	0	0	0	0	4,983	4,983	0	0	4,983	4,983
Total budget	0	0	0	0	0	0	0	0	14,953	15,088	17,042	1,955	14,953	15,088	17,042	1,955

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Comptroller Source Group

Schedule
41

SR0 Department of Insurance, Securities, and Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	8,324	8,173	9,304	1,132	0	0	0	0	0	0	0	0	0	0	0	0	8,324	8,173	9,304	1,132
0012	389	361	252	-110	0	0	0	0	0	0	0	0	0	0	0	0	389	361	252	-110
0013	251	113	113	0	0	0	0	0	0	0	0	0	0	0	0	0	251	113	113	0
0014	1,675	1,561	1,934	373	0	0	0	0	0	0	0	0	0	0	0	0	1,675	1,561	1,934	373
0015	1	21	21	0	0	0	0	0	0	0	0	0	0	0	0	0	1	21	21	0
Subtotal: PS	10,640	10,229	11,624	1,395	0	0	0	0	0	0	0	0	0	0	0	0	10,640	10,229	11,624	1,395
0020	38	75	75	0	0	0	0	0	0	0	0	0	0	0	0	0	38	75	75	0
0031	72	85	85	0	0	0	0	0	0	0	0	0	0	0	0	0	72	85	85	0
0032	2,013	1,621	1,763	141	0	0	0	0	0	0	0	0	0	0	0	0	2,013	1,621	1,763	141
0033	0	0	23	23	0	0	0	0	0	0	0	0	0	0	0	0	0	0	23	23
0035	7	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7	0	0	0
0040	763	1,297	1,334	37	0	0	0	0	0	0	0	0	60	0	0	0	823	1,297	1,334	37
0041	10	81	200	119	0	0	0	0	0	0	0	0	0	0	0	0	10	81	200	119
0050	1,072	1,097	1,337	240	0	0	0	0	0	0	0	0	0	0	0	0	1,072	1,097	1,337	240
0070	338	602	602	0	0	0	0	0	0	0	0	0	0	0	0	0	338	602	602	0
Subtotal: NPS	4,312	4,858	5,418	560	0	0	0	0	0	0	0	0	60	0	0	0	4,372	4,858	5,418	560
Total budget	14,953	15,088	17,042	1,955	0	0	0	0	0	0	0	0	60	0	0	0	15,013	15,088	17,042	1,955

Full Time Employees (FTEs)

Comptroller Source Group	General FTEs				Federal FTEs				Private FTEs				Intra-District FTEs				Gross FTEs			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	92	97	109	12	0	0	0	0	0	0	0	0	0	0	0	0	92	97	109	12
0012	0	5	3	-2	0	0	0	0	0	0	0	0	0	0	0	0	0	5	3	-2
Total FTEs	92	102	112	10	0	0	0	0	0	0	0	0	0	0	0	0	92	102	112	10

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Program Summary by
Comptroller Source Group

Schedule
41G

SR0 Department of Insurance, Securities, and Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	8,324	8,173	9,304	1,132	8,324	8,173	9,304	1,132
0012	0	0	0	0	0	0	0	0	389	361	252	-110	389	361	252	-110
0013	0	0	0	0	0	0	0	0	251	113	113	0	251	113	113	0
0014	0	0	0	0	0	0	0	0	1,675	1,561	1,934	373	1,675	1,561	1,934	373
0015	0	0	0	0	0	0	0	0	1	21	21	0	1	21	21	0
Subtotal: PS	0	0	0	0	0	0	0	0	10,640	10,229	11,624	1,395	10,640	10,229	11,624	1,395
0020	0	0	0	0	0	0	0	0	38	75	75	0	38	75	75	0
0031	0	0	0	0	0	0	0	0	72	85	85	0	72	85	85	0
0032	0	0	0	0	0	0	0	0	2,013	1,621	1,763	141	2,013	1,621	1,763	141
0033	0	0	0	0	0	0	0	0	0	0	23	23	0	0	23	23
0035	0	0	0	0	0	0	0	0	7	0	0	0	7	0	0	0
0040	0	0	0	0	0	0	0	0	763	1,297	1,334	37	763	1,297	1,334	37
0041	0	0	0	0	0	0	0	0	10	81	200	119	10	81	200	119
0050	0	0	0	0	0	0	0	0	1,072	1,097	1,337	240	1,072	1,097	1,337	240
0070	0	0	0	0	0	0	0	0	338	602	602	0	338	602	602	0
Subtotal: NPS	0	0	0	0	0	0	0	0	4,312	4,858	5,418	560	4,312	4,858	5,418	560
Total budget	0	0	0	0	0	0	0	0	14,953	15,088	17,042	1,955	14,953	15,088	17,042	1,955

Full Time Employees (FTEs)

Comptroller Source Group	Local FTEs				Dedicated FTEs				Other FTEs				General FTEs			
	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011	FY 2010 Actual	FY 2011 Appr	FY 2012 Req	Change vs 2011
0011	0	0	0	0	0	0	0	0	92	97	109	12	92	97	109	12
0012	0	0	0	0	0	0	0	0	0	5	3	-2	0	5	3	-2
Total FTEs	0	0	0	0	0	0	0	0	92	102	112	10	92	102	112	10

FY 2012 Proposed Budget
for the District of Columbia Government

(Dollars in Thousands)

Agency Summary
by Revenue Source

Schedule
80

SR0 Department of Insurance, Securities, and Banking

Appropriated Fund Title	Revenue Source Code	Revenue Source Name	Budget Request	FTEs
General Fund				
Special Purpose Revenue Funds				
	2100	HMO ASSESSMENT	\$860	5.22
	2200	INSURANCE ASSESSMENT	\$7,682	51.72
	2350	SECURITIES AND BANKING FUND	\$6,557	42.80
	2800	CAPTIVE INSURANCE	\$1,944	12.08
Subtotal: Special Purpose Revenue Funds			\$17,042	111.82
Subtotal: General Fund			\$17,042	111.82
Total: Department of Insurance, Securities, and Banking			\$17,042	111.82