

**FY 2011 Proposed Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Activity** Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SRO Code	FY 2009 Actual	FY 2010 Approved	FY 2011 Request	Change from FY 2010	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
AGENCY MANAGEMENT PROGRAM	1000										
PERSONNEL	1010	123	91	85	-6	0	85	85	0	0	0
LABOR PATNERSHIP	1017	71	62	67	5	0	67	67	0	0	0
OFFICE OF INFO TECH & SUPPORT	1040	921	993	1,045	52	0	1,045	1,045	0	0	0
RISK MANAGEMENT	1055	559	447	578	131	0	578	578	0	0	0
PUBLIC AFFAIRS	1080	389	301	317	16	0	317	317	0	0	0
PERFORMANCE MANAGEMENT	1090	409	447	427	-20	0	427	427	0	0	0
CONSUMER PROTECTION	1095	0	0	259	259	0	259	259	0	0	0
Subtotal: AGENCY MANAGEMENT PROGRAM		2,473	2,341	2,778	438	0	2,778	2,778	0	0	0
AGENCY FINANCIAL OPERATIONS	100F										
BUDGET OPERATION	110F	116	106	121	15	0	121	121	0	0	0
ACCOUING OPERATIONS	120F	254	329	379	51	0	379	379	0	0	0
ACFO	130F	159	155	165	11	0	165	165	0	0	0
Subtotal: AGENCY FINANCIAL OPERATIONS		529	589	666	76	0	666	666	0	0	0
INSURANCE PROGRAM	2000										
INSURANCE PRODUCTS	2010	3,094	3,718	0	-3,718	0	0	0	0	0	0
CONSUMER AND PROF LICENSING	2020	1,020	1,056	0	-1,056	0	0	0	0	0	0
FINANCIAL EXAMINATION	2030	809	950	0	-950	0	0	0	0	0	0
FORMS ANALYSIS	2040	0	0	3,005	3,005	0	3,005	3,005	0	0	0
MARKET CONDUCT	2045	0	0	487	487	0	487	487	0	0	0
HMO	2050	621	443	481	38	0	481	481	0	0	0
CONSUMER SERVICES	2055	0	0	666	666	0	666	666	0	0	0
PROFESSIONAL SERVICES	2060	0	0	469	469	0	469	469	0	0	0
FINANCIAL REGULATORY	2065	0	0	617	617	0	617	617	0	0	0
ACTUARIAL ANALYSIS	2070	0	0	404	404	0	404	404	0	0	0
Subtotal: INSURANCE PROGRAM		5,545	6,166	6,129	-37	0	6,129	6,129	0	0	0
SECURITIES PROGRAM	3000										
CORPORATE FINANCE	3010	1,605	1,632	1,608	-24	0	1,608	1,608	0	0	0
SECURITIES EXAMINATION	3020	560	554	596	42	0	596	596	0	0	0
SECURITIES LICENSING	3030	394	407	441	34	0	441	441	0	0	0
Subtotal: SECURITIES PROGRAM		2,559	2,593	2,645	52	0	2,645	2,645	0	0	0

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Activity**

Schedule
30-PBB

Department of Insurance, Securities, and Banking Name	SR0 Code	FY 2009 Actual	FY 2010 Approved	FY 2011 Request	Change from FY 2010	Local (Dedicated Taxes)	Other	General (Local + Other)	Federal	Private	Intra- District
ENFORCEMENT PROGRAM	4000										
COMPLIANCE	4010	196	199	0	-199	0	0	0	0	0	0
INVESTIGATIVE	4020	742	763	0	-763	0	0	0	0	0	0
ENFORCEMENT	4050	0	0	301	301	0	301	301	0	0	0
INVESTIGATIONS	4060	0	0	699	699	0	699	699	0	0	0
Subtotal: ENFORCEMENT PROGRAM		938	962	1,000	38	0	1,000	1,000	0	0	0
BANKING	5000										
DEPOSITORY	5010	1,632	330	0	-330	0	0	0	0	0	0
NON DEPOSITORY	5020	331	1,914	0	-1,914	0	0	0	0	0	0
EXAMINATION	5055	0	0	1,710	1,710	0	1,710	1,710	0	0	0
LICENSING	5060	0	0	426	426	0	426	426	0	0	0
Subtotal: BANKING		1,964	2,244	2,136	-108	0	2,136	2,136	0	0	0
RISK FINANCE	6000										
COMPLIANCE	6010	697	785	0	-785	0	0	0	0	0	0
FINANCIAL ANALYSIS	6020	560	646	1,319	673	0	1,319	1,319	0	0	0
Subtotal: RISK FINANCE		1,257	1,431	1,319	-112	0	1,319	1,319	0	0	0
Total: Department of Insurance, Securities, and Banking		15,264	16,327	16,674	347	0	16,674	16,674	0	0	0

**FY 2011 Proposed Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
40-PBB

SRO Department of Insurance, Securities, and Banking

1000 Agency Management Program

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	1,948	1,995	2,272	277	0	0	0	0	0	0	0	0	0	0	0	0	1,948	1,995	2,272	277
0012	0	0	70	70	0	0	0	0	0	0	0	0	0	0	0	0	0	0	70	70
0013	135	10	10	0	0	0	0	0	0	0	0	0	0	0	0	0	135	10	10	0
0014	390	335	426	91	0	0	0	0	0	0	0	0	0	0	0	0	390	335	426	91
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	2,473	2,341	2,778	438	0	0	0	0	0	0	0	0	0	0	0	0	2,473	2,341	2,778	438
Total 1000	2,473	2,341	2,778	438	0	0	0	0	0	0	0	0	0	0	0	0	2,473	2,341	2,778	438

100F Agency Financial Operations

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	442	500	559	59	0	0	0	0	0	0	0	0	0	0	0	0	442	500	559	59
0013	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
0014	84	84	102	18	0	0	0	0	0	0	0	0	0	0	0	0	84	84	102	18
0015	2	5	5	0	0	0	0	0	0	0	0	0	0	0	0	0	2	5	5	0
Subtotal: PS	529	589	666	76	0	0	0	0	0	0	0	0	0	0	0	0	529	589	666	76
Total 100F	529	589	666	76	0	0	0	0	0	0	0	0	0	0	0	0	529	589	666	76

2000 Insurance Program

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	2,615	2,970	2,767	-202	0	0	0	0	0	0	0	0	0	0	0	0	2,615	2,970	2,767	-202
0012	261	0	291	291	0	0	0	0	0	0	0	0	0	0	0	0	261	0	291	291
0013	51	74	74	0	0	0	0	0	0	0	0	0	0	0	0	0	51	74	74	0
0014	529	499	557	57	0	0	0	0	0	0	0	0	0	0	0	0	529	499	557	57
0015	2	27	10	-17	0	0	0	0	0	0	0	0	0	0	0	0	2	27	10	-17
Subtotal: PS	3,457	3,570	3,698	129	0	0	0	0	0	0	0	0	0	0	0	0	3,457	3,570	3,698	129
0020	23	36	32	-4	0	0	0	0	0	0	0	0	0	0	0	0	23	36	32	-4
0031	35	41	42	2	0	0	0	0	0	0	0	0	0	0	0	0	35	41	42	2
0032	977	1,022	811	-211	0	0	0	0	0	0	0	0	0	0	0	0	977	1,022	811	-211
0035	0	6	0	-6	0	0	0	0	0	0	0	0	0	0	0	0	0	6	0	-6
0040	384	569	651	82	0	0	0	0	0	0	0	0	60	0	0	0	444	569	651	82
0041	0	46	46	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46	46	0
0050	500	527	549	22	0	0	0	0	0	0	0	0	0	0	0	0	500	527	549	22
0070	109	351	299	-51	0	0	0	0	0	0	0	0	0	0	0	0	109	351	299	-51
Subtotal: NPS	2,028	2,597	2,431	-166	0	0	0	0	0	0	0	0	60	0	0	0	2,088	2,597	2,431	-166
Total 2000	5,485	6,166	6,129	-37	0	0	0	0	0	0	0	0	60	0	0	0	5,545	6,166	6,129	-37

3000 Securities Program

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**Program Summary by
Comptroller Source Group**

**Schedule
40-PBB**

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	1,223	1,243	1,315	72	0	0	0	0	0	0	0	0	0	0	0	0	1,223	1,243	1,315	72
0013	23	20	20	0	0	0	0	0	0	0	0	0	0	0	0	0	23	20	20	0
0014	227	209	239	30	0	0	0	0	0	0	0	0	0	0	0	0	227	209	239	30
0015	5	9	4	-5	0	0	0	0	0	0	0	0	0	0	0	0	5	9	4	-5
Subtotal: PS	1,478	1,481	1,579	97	0	0	0	0	0	0	0	0	0	0	0	0	1,478	1,481	1,579	97
0020	15	16	19	3	0	0	0	0	0	0	0	0	0	0	0	0	15	16	19	3
0031	15	18	18	0	0	0	0	0	0	0	0	0	0	0	0	0	15	18	18	0
0032	394	447	340	-107	0	0	0	0	0	0	0	0	0	0	0	0	394	447	340	-107
0035	0	3	0	-3	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	-3
0040	259	264	310	46	0	0	0	0	0	0	0	0	0	0	0	0	259	264	310	46
0041	0	20	35	15	0	0	0	0	0	0	0	0	0	0	0	0	0	20	35	15
0050	202	230	230	0	0	0	0	0	0	0	0	0	0	0	0	0	202	230	230	0
0070	196	114	114	1	0	0	0	0	0	0	0	0	0	0	0	0	196	114	114	1
Subtotal: NPS	1,081	1,112	1,067	-45	0	0	0	0	0	0	0	0	0	0	0	0	1,081	1,112	1,067	-45
Total 3000	2,559	2,593	2,645	52	0	0	0	0	0	0	0	0	0	0	0	0	2,559	2,593	2,645	52

4000 Enforcement Program

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	820	819	843	24	0	0	0	0	0	0	0	0	0	0	0	0	820	819	843	24
0013	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0
0014	117	138	153	16	0	0	0	0	0	0	0	0	0	0	0	0	117	138	153	16
0015	0	4	2	-2	0	0	0	0	0	0	0	0	0	0	0	0	0	4	2	-2
Subtotal: PS	938	962	1,000	38	0	0	0	0	0	0	0	0	0	0	0	0	938	962	1,000	38
Total 4000	938	962	1,000	38	0	0	0	0	0	0	0	0	0	0	0	0	938	962	1,000	38

5000 Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	844	964	1,019	56	0	0	0	0	0	0	0	0	0	0	0	0	844	964	1,019	56
0013	0	8	8	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8	8	0
0014	148	162	186	24	0	0	0	0	0	0	0	0	0	0	0	0	148	162	186	24
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	993	1,133	1,213	79	0	0	0	0	0	0	0	0	0	0	0	0	993	1,133	1,213	79
0020	9	15	11	-4	0	0	0	0	0	0	0	0	0	0	0	0	9	15	11	-4
0031	15	17	16	-1	0	0	0	0	0	0	0	0	0	0	0	0	15	17	16	-1
0032	352	426	308	-118	0	0	0	0	0	0	0	0	0	0	0	0	352	426	308	-118
0035	0	2	0	-2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	0	-2
0040	144	281	254	-27	0	0	0	0	0	0	0	0	0	0	0	0	144	281	254	-27
0041	88	19	0	-19	0	0	0	0	0	0	0	0	0	0	0	0	88	19	0	-19
0050	175	219	209	-11	0	0	0	0	0	0	0	0	0	0	0	0	175	219	209	-11
0070	188	131	126	-5	0	0	0	0	0	0	0	0	0	0	0	0	188	131	126	-5

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**Program Summary by
Comptroller Source Group**

Schedule
40-PBB

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
Subtotal: NPS	971	1,111	924	-187	0	0	0	0	0	0	0	0	0	0	0	0	971	1,111	924	-187
Total 5000	1,964	2,244	2,136	-108	0	0	0	0	0	0	0	0	0	0	0	0	1,964	2,244	2,136	-108

6000 Risk Finance

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	659	728	694	-34	0	0	0	0	0	0	0	0	0	0	0	0	659	728	694	-34
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	122	122	126	4	0	0	0	0	0	0	0	0	0	0	0	0	122	122	126	4
0015	0	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	0
Subtotal: PS	782	852	821	-30	0	0	0	0	0	0	0	0	0	0	0	0	782	852	821	-30
0020	8	8	12	4	0	0	0	0	0	0	0	0	0	0	0	0	8	8	12	4
0031	7	9	8	-1	0	0	0	0	0	0	0	0	0	0	0	0	7	9	8	-1
0032	207	234	162	-72	0	0	0	0	0	0	0	0	0	0	0	0	207	234	162	-72
0035	0	1	0	-1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	-1
0040	124	138	142	4	0	0	0	0	0	0	0	0	0	0	0	0	124	138	142	4
0041	0	11	0	-11	0	0	0	0	0	0	0	0	0	0	0	0	0	11	0	-11
0050	106	121	110	-11	0	0	0	0	0	0	0	0	0	0	0	0	106	121	110	-11
0070	23	57	63	5	0	0	0	0	0	0	0	0	0	0	0	0	23	57	63	5
Subtotal: NPS	475	579	497	-82	0	0	0	0	0	0	0	0	0	0	0	0	475	579	497	-82
Total 6000	1,257	1,431	1,319	-112	0	0	0	0	0	0	0	0	0	0	0	0	1,257	1,431	1,319	-112
Total budget	15,204	16,327	16,674	347	0	0	0	0	0	0	0	0	60	0	0	0	15,264	16,327	16,674	347

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**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

SRO Department of Insurance, Securities, and Banking

1000 Agency Management Program

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	1,948	1,995	2,272	277	1,948	1,995	2,272	277
0012	0	0	0	0	0	0	0	0	0	0	70	70	0	0	70	70
0013	0	0	0	0	0	0	0	0	135	10	10	0	135	10	10	0
0014	0	0	0	0	0	0	0	0	390	335	426	91	390	335	426	91
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	2,473	2,341	2,778	438	2,473	2,341	2,778	438
Total 1000	0	0	0	0	0	0	0	0	2,473	2,341	2,778	438	2,473	2,341	2,778	438

100F Agency Financial Operations

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	442	500	559	59	442	500	559	59
0013	0	0	0	0	0	0	0	0	1	0	0	0	1	0	0	0
0014	0	0	0	0	0	0	0	0	84	84	102	18	84	84	102	18
0015	0	0	0	0	0	0	0	0	2	5	5	0	2	5	5	0
Subtotal: PS	0	0	0	0	0	0	0	0	529	589	666	76	529	589	666	76
Total 100F	0	0	0	0	0	0	0	0	529	589	666	76	529	589	666	76

2000 Insurance Program

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	2,615	2,970	2,767	-202	2,615	2,970	2,767	-202
0012	0	0	0	0	0	0	0	0	261	0	291	291	261	0	291	291
0013	0	0	0	0	0	0	0	0	51	74	74	0	51	74	74	0
0014	0	0	0	0	0	0	0	0	529	499	557	57	529	499	557	57
0015	0	0	0	0	0	0	0	0	2	27	10	-17	2	27	10	-17
Subtotal: PS	0	0	0	0	0	0	0	0	3,457	3,570	3,698	129	3,457	3,570	3,698	129
0020	0	0	0	0	0	0	0	0	23	36	32	-4	23	36	32	-4
0031	0	0	0	0	0	0	0	0	35	41	42	2	35	41	42	2
0032	0	0	0	0	0	0	0	0	977	1,022	811	-211	977	1,022	811	-211
0035	0	0	0	0	0	0	0	0	0	6	0	-6	0	6	0	-6
0040	0	0	0	0	0	0	0	0	384	569	651	82	384	569	651	82
0041	0	0	0	0	0	0	0	0	0	46	46	0	0	46	46	0
0050	0	0	0	0	0	0	0	0	500	527	549	22	500	527	549	22
0070	0	0	0	0	0	0	0	0	109	351	299	-51	109	351	299	-51
Subtotal: NPS	0	0	0	0	0	0	0	0	2,028	2,597	2,431	-166	2,028	2,597	2,431	-166
Total 2000	0	0	0	0	0	0	0	0	5,485	6,166	6,129	-37	5,485	6,166	6,129	-37

3000 Securities Program

**FY 2011 Proposed Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	1,223	1,243	1,315	72	1,223	1,243	1,315	72
0013	0	0	0	0	0	0	0	0	23	20	20	0	23	20	20	0
0014	0	0	0	0	0	0	0	0	227	209	239	30	227	209	239	30
0015	0	0	0	0	0	0	0	0	5	9	4	-5	5	9	4	-5
Subtotal: PS	0	0	0	0	0	0	0	0	1,478	1,481	1,579	97	1,478	1,481	1,579	97
0020	0	0	0	0	0	0	0	0	15	16	19	3	15	16	19	3
0031	0	0	0	0	0	0	0	0	15	18	18	0	15	18	18	0
0032	0	0	0	0	0	0	0	0	394	447	340	-107	394	447	340	-107
0035	0	0	0	0	0	0	0	0	0	3	0	-3	0	3	0	-3
0040	0	0	0	0	0	0	0	0	259	264	310	46	259	264	310	46
0041	0	0	0	0	0	0	0	0	0	20	35	15	0	20	35	15
0050	0	0	0	0	0	0	0	0	202	230	230	0	202	230	230	0
0070	0	0	0	0	0	0	0	0	196	114	114	1	196	114	114	1
Subtotal: NPS	0	0	0	0	0	0	0	0	1,081	1,112	1,067	-45	1,081	1,112	1,067	-45
Total 3000	0	0	0	0	0	0	0	0	2,559	2,593	2,645	52	2,559	2,593	2,645	52

4000 Enforcement Program

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	820	819	843	24	820	819	843	24
0013	0	0	0	0	0	0	0	0	0	1	1	0	0	1	1	0
0014	0	0	0	0	0	0	0	0	117	138	153	16	117	138	153	16
0015	0	0	0	0	0	0	0	0	0	4	2	-2	0	4	2	-2
Subtotal: PS	0	0	0	0	0	0	0	0	938	962	1,000	38	938	962	1,000	38
Total 4000	0	0	0	0	0	0	0	0	938	962	1,000	38	938	962	1,000	38

5000 Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	844	964	1,019	56	844	964	1,019	56
0013	0	0	0	0	0	0	0	0	0	8	8	0	0	8	8	0
0014	0	0	0	0	0	0	0	0	148	162	186	24	148	162	186	24
0015	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Subtotal: PS	0	0	0	0	0	0	0	0	993	1,133	1,213	79	993	1,133	1,213	79
0020	0	0	0	0	0	0	0	0	9	15	11	-4	9	15	11	-4
0031	0	0	0	0	0	0	0	0	15	17	16	-1	15	17	16	-1
0032	0	0	0	0	0	0	0	0	352	426	308	-118	352	426	308	-118
0035	0	0	0	0	0	0	0	0	0	2	0	-2	0	2	0	-2
0040	0	0	0	0	0	0	0	0	144	281	254	-27	144	281	254	-27
0041	0	0	0	0	0	0	0	0	88	19	0	-19	88	19	0	-19
0050	0	0	0	0	0	0	0	0	175	219	209	-11	175	219	209	-11
0070	0	0	0	0	0	0	0	0	188	131	126	-5	188	131	126	-5

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**Program Summary by
Comptroller Source Group**

Schedule
40G-PBB

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
Subtotal: NPS	0	0	0	0	0	0	0	0	971	1,111	924	-187	971	1,111	924	-187
Total 5000	0	0	0	0	0	0	0	0	1,964	2,244	2,136	-108	1,964	2,244	2,136	-108

6000 Risk Finance

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	659	728	694	-34	659	728	694	-34
0013	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0014	0	0	0	0	0	0	0	0	122	122	126	4	122	122	126	4
0015	0	0	0	0	0	0	0	0	0	1	1	0	0	1	1	0
Subtotal: PS	0	0	0	0	0	0	0	0	782	852	821	-30	782	852	821	-30
0020	0	0	0	0	0	0	0	0	8	8	12	4	8	8	12	4
0031	0	0	0	0	0	0	0	0	7	9	8	-1	7	9	8	-1
0032	0	0	0	0	0	0	0	0	207	234	162	-72	207	234	162	-72
0035	0	0	0	0	0	0	0	0	0	1	0	-1	0	1	0	-1
0040	0	0	0	0	0	0	0	0	124	138	142	4	124	138	142	4
0041	0	0	0	0	0	0	0	0	0	11	0	-11	0	11	0	-11
0050	0	0	0	0	0	0	0	0	106	121	110	-11	106	121	110	-11
0070	0	0	0	0	0	0	0	0	23	57	63	5	23	57	63	5
Subtotal: NPS	0	0	0	0	0	0	0	0	475	579	497	-82	475	579	497	-82
Total 6000	0	0	0	0	0	0	0	0	1,257	1,431	1,319	-112	1,257	1,431	1,319	-112
Total budget	0	0	0	0	0	0	0	0	15,204	16,327	16,674	347	15,204	16,327	16,674	347

**FY 2011 Proposed Budget
for the District of Columbia Government**

(Dollars in Thousands)

**Program Summary by
Comptroller Source Group**

Schedule
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SRO Department of Insurance, Securities, and Banking

Comptroller Source Group	General Funds				Federal Funds				Private Funds				Intra-District Funds				Gross Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	8,551	9,220	9,471	250	0	0	0	0	0	0	0	0	0	0	0	0	8,551	9,220	9,471	250
0012	261	0	361	361	0	0	0	0	0	0	0	0	0	0	0	0	261	0	361	361
0013	209	113	113	0	0	0	0	0	0	0	0	0	0	0	0	0	209	113	113	0
0014	1,617	1,550	1,789	240	0	0	0	0	0	0	0	0	0	0	0	0	1,617	1,550	1,789	240
0015	11	45	21	-24	0	0	0	0	0	0	0	0	0	0	0	0	11	45	21	-24
Subtotal: PS	10,649	10,928	11,756	827	0	0	0	0	0	0	0	0	0	0	0	0	10,649	10,928	11,756	827
0020	54	75	75	0	0	0	0	0	0	0	0	0	0	0	0	0	54	75	75	0
0031	72	85	85	0	0	0	0	0	0	0	0	0	0	0	0	0	72	85	85	0
0032	1,930	2,129	1,621	-508	0	0	0	0	0	0	0	0	0	0	0	0	1,930	2,129	1,621	-508
0035	0	12	0	-12	0	0	0	0	0	0	0	0	0	0	0	0	0	12	0	-12
0040	911	1,251	1,357	106	0	0	0	0	0	0	0	0	60	0	0	0	971	1,251	1,357	106
0041	88	97	81	-15	0	0	0	0	0	0	0	0	0	0	0	0	88	97	81	-15
0050	984	1,097	1,097	0	0	0	0	0	0	0	0	0	0	0	0	0	984	1,097	1,097	0
0070	516	652	602	-50	0	0	0	0	0	0	0	0	0	0	0	0	516	652	602	-50
Subtotal: NPS	4,555	5,399	4,918	-480	0	0	0	0	0	0	0	0	60	0	0	0	4,615	5,399	4,918	-480
Total budget	15,204	16,327	16,674	347	0	0	0	0	0	0	0	0	60	0	0	0	15,264	16,327	16,674	347

Full Time Employees (FTEs)

Comptroller Source Group	General FTEs				Federal FTEs				Private FTEs				Intra-District FTEs				Gross FTEs			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	96	111	109	-2	0	0	0	0	0	0	0	0	0	0	0	0	96	111	109	-2
0012	3	0	5	5	0	0	0	0	0	0	0	0	0	0	0	0	3	0	5	5
Total FTEs	99	111	114	3	0	0	0	0	0	0	0	0	0	0	0	0	99	111	114	3

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**Program Summary by
Comptroller Source Group**

Schedule
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SRO Department of Insurance, Securities, and Banking

Comptroller Source Group	Local Funds				Dedicated Taxes				Other Funds				General Funds			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	8,551	9,220	9,471	250	8,551	9,220	9,471	250
0012	0	0	0	0	0	0	0	0	261	0	361	361	261	0	361	361
0013	0	0	0	0	0	0	0	0	209	113	113	0	209	113	113	0
0014	0	0	0	0	0	0	0	0	1,617	1,550	1,789	240	1,617	1,550	1,789	240
0015	0	0	0	0	0	0	0	0	11	45	21	-24	11	45	21	-24
Subtotal: PS	0	0	0	0	0	0	0	0	10,649	10,928	11,756	827	10,649	10,928	11,756	827
0020	0	0	0	0	0	0	0	0	54	75	75	0	54	75	75	0
0031	0	0	0	0	0	0	0	0	72	85	85	0	72	85	85	0
0032	0	0	0	0	0	0	0	0	1,930	2,129	1,621	-508	1,930	2,129	1,621	-508
0035	0	0	0	0	0	0	0	0	0	12	0	-12	0	12	0	-12
0040	0	0	0	0	0	0	0	0	911	1,251	1,357	106	911	1,251	1,357	106
0041	0	0	0	0	0	0	0	0	88	97	81	-15	88	97	81	-15
0050	0	0	0	0	0	0	0	0	984	1,097	1,097	0	984	1,097	1,097	0
0070	0	0	0	0	0	0	0	0	516	652	602	-50	516	652	602	-50
Subtotal: NPS	0	0	0	0	0	0	0	0	4,555	5,399	4,918	-480	4,555	5,399	4,918	-480
Total budget	0	0	0	0	0	0	0	0	15,204	16,327	16,674	347	15,204	16,327	16,674	347

Full Time Employees (FTEs)

Comptroller Source Group	Local FTEs				Dedicated FTEs				Other FTEs				General FTEs			
	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010	FY 2009 Actual	FY 2010 Appr	FY 2011 Req	Change vs 2010
0011	0	0	0	0	0	0	0	0	96	111	109	-2	96	111	109	-2
0012	0	0	0	0	0	0	0	0	3	0	5	5	3	0	5	5
Total FTEs	0	0	0	0	0	0	0	0	99	111	114	3	99	111	114	3

**FY 2011 Proposed Budget
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(Dollars in Thousands)

**Agency Summary
by Revenue Source**

Schedule

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SR0 Department of Insurance, Securities, and Banking

Appropriated Fund Title	Revenue Source Code	Revenue Source Name	Budget Request	FTEs
General Fund				
Special Purpose Revenue Funds				
	2100	HMO ASSESSMENT	\$909	6.09
	2200	INSURANCE ASSESSMENT	\$7,465	50.73
	2300	SECURITIES BROKER/DEALER LICENSES	\$3,578	24.25
	2800	CAPTIVE INSURANCE	\$1,765	11.40
	2900	BANKING TRUST FUND	\$2,957	21.37
Subtotal: Special Purpose Revenue Funds			\$16,674	113.84
Subtotal: General Fund			\$16,674	113.84
Total: Department of Insurance, Securities, and Banking			\$16,674	113.84